

FOURTH AMENDMENT TO TECHNICAL STANDARDS IN TRIBAL-STATE  
COMPACT FOR CONTROL OF CLASS III VIDEO GAMES OF CHANCE ON  
MILLE LACS BAND OF CHIPPEWA RESERVATION IN MINNESOTA

Pursuant to Section 6.12 of the Tribal State Compact for Control of Class III Video Games of Chance on the Fond du Lac Band of Lake Superior Chippewa Reservation in Minnesota, the State of Minnesota, by and through its Commissioner of Public Safety, and the Mille Lacs Band of Chippewa Reservation, agree as follows:

1. Section 6.9B Items 1-17 inclusive of Technical Amendments to such items shall remain in effect.

2. A new section 6.9 (18) is hereby added:

18. (A) If inserted into automated ticket redemption equipment, the equipments and related software shall scan the bar code via an optical reader or its equivalent. If the optical reader or its equivalent is unable to read the bar code, the equipment will reject the ticket back to the presenter for redemption at a change booth or cashiers cage. If accepted, the ticket shall remain secured within the equipment until dropped by authorized personnel.

(B) The validation number shall be transmitted to the host computer. The host computer shall verify the authenticity of the cashless ticket and communicate directly back to the automated ticket redemption equipment.

(C) If valid, the automated ticket redemption equipment pays the customer the appropriate amount and the cashless ticket is electronically noted "paid" in the system. This equipment will maintain in critical memory the following event log:

- Voucher In Event Log. This will contain the following information about the cashless ticket redeemed:
  - Date / Time of redemption
  - Amount of the cashless ticket
  - At least the last 4-digits of the validation number

- Machine number of the Cashless Ticket
- The Voucher In Event Log will maintain at least 35 events in critical memory.

This equipment and / or the host computer will maintain an independent printable audit trail of all tickets paid containing the following:

- (1) Transaction number;
- (2) Validation number;
- (3) Paid date and time; and
- (4) Amount.

(D) The automated ticket redemption equipment and related software will perform the following for the purpose detecting and reporting transactions as defined under the Bank Secrecy Act, 31 U.S.C. § 321 et al.:

- (1) Will be programmed to reject transactions over \$2,000.00 for processing manually at a change booth or cashiers cage;
- (2) Will be programmed to notify appropriate casino personnel of transactions over a predetermined amount;
- (3) Will be monitored by surveillance camera for the purpose of identification of the operator. Both the time/date stamps of the surveillance equipment and ticket redemption equipments audit trail will be synchronized for the purpose of identifying the exact transaction of each operator.

(E) The automated ticket redemption equipment and related software will produce balancing reports on demand that will disclose the amount of tickets redeemed, the amount of cash/coin issued by denomination and the available balance for audit purposes.

(F) The Manufacturer of automated ticket redemption equipment will house the client software of the machine within a secure location (behind safe door, with dual-custody locks). The Operator will house the server software responsible for interfacing with an approved slot management system within a secure location, which limits general access. The manufacturer will provide the state with the software, training and


assistance necessary to test the integrity of the slot management interface by • inspecting the MD5 Checksum (or similar process) that was generated by the testing lab during approval of the slot management interface code base.

(G) If for any reason communication is lost between the automated ticket redemption equipment and the slot accounting system, tickets will no longer be accepted for redemption. The equipment will be designed to insure the integrity of transactions in progress in the event of power surges or a complete power loss. The equipment will be equipped with UPS, monitored by the related software, that will allow the completion of the current transaction, when detecting power loss, before placing the equipment out-of-service.

(H) The equipment will not have the capability to produce a ticket in a format acceptable for insertion within a video slot machine for credit.


Dated: 9-7-2004

STATE OF MINNESOTA

  
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Michael Campion  
Commissioner of Public Safety

Dated: 8/16/04

MILLE LACS BAND OF CHIPPEWA

  
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Mitch Corbine  
Commissioner of Corporate Affairs