

FOURTH AMENDMENT TO TECHNICAL STANDARDS IN TRIBAL-STATE COMPACT FOR CONTROL OF CLASS III VIDEO GAMES OF CHANCE ON THE GRAND PORTAGE BAND OF CHIPPEWA RESERVATION IN MINNESOTA

Pursuant to Section 6.12 of the Tribal State Compact for Control of Class III Video Games of Chance on the Grand Portage Band of Lake Superior Chippewa Reservation in Minnesota, the State of Minnesota, by and through its Commissioner of Public Safety, and the Grand Portage Band of Lake Superior Chippewa, agree as follows:

1. Section 6.9B Items 1-13 remain in effect.

2. Section 6.9B is amended to read:

14. (A) If inserted into automated ticket redemption equipment, the equipments and related software shall scan the bar code via an optical reader or its equivalent. If the optical reader or its equivalent is unable to read the bar code the equipment will reject the ticket back to the presenter for redemption at a change booth or cashier's cage. If accepted, the ticket shall remain secured within the equipment until dropped by authorized personnel.

(B) The validation number shall be transmitted to the host computer. The host computer shall verify the authenticity of the cashless ticket and communicate directly back to the automated ticket redemption equipment.

(C) If valid, the automated ticket redemption equipment pays the customer the appropriate amount and the cashless ticket is electronically noted "paid" in the system. This equipment and related software will maintain an independent printable audit trail of all tickets paid containing the following:

- (1) Machine number;
- (2) Transaction number;
- (3) Validation number;
- (4) Ticket Issue date and time;
- (5) Paid date and time; and
- (6) Amount.

(D) The automated ticket redemption equipment and related software will perform the following for the purpose detecting and reporting transactions as defined under the Bank Secrecy Act, 31 U.S.C. § 321 et al.:

- (1) Will be programmed to reject transaction over \$2,000.00 for processing manually at a chance booth or cashiers" cage;

- (2) Will be programmable to notify appropriate casino personnel of transactions over a predefined amount; and

- (3) Will be monitored by surveillance camera for the purpose of identification of the operator. Both the time/date stamps of the surveillance equipment and ticket redemption equipment^ s audit trail will be synchronized for the purpose of identifying the exact transaction of each operator.

(E) The automated ticket redemption equipment and related software will produce balancing reports on demand that will disclose the amount of tickets redeemed, the amount of cash/coin issued by denomination and the available balance for audit purposes.

(F) The Manufacturer of automated ticket redemption equipment will house the client software of the machine within a secure location (behind safe door, with dual-custody locks). The Operator will house the server software responsible for interfacing with an approved slot management system within a secure location, which limits general access. The manufacturer will provide the state with the software, training and assistance necessary to test the integrity of the slot management interface by •inspecting the MD5 Checksum (or similar process) that was generated by the testing lab during approval of the slot management interface codebase.

(G) If for any reason communication is lost between the automated ticket redemption equipment and the slot accounting system, tickets will no longer be accepted for redemption. The equipment will be designed to insure the integrity of transactions in progress in the event of power surges or a complete power loss. The equipment will be equipped with UPS, monitored by the related software, that will allow the completion of .the current transaction, when detecting power loss, before placing the equipment out-of-service.

(H) The equipment will not have the capability to produce a ticket in a format acceptable for insertion within a video slot machine for credit.

•3-4-15.The following Soft Count procedures shall be performed:

- (A) At least once during each week prior to the commencement of each soft count, the count team shall test the accuracy of the ticket counter with a varying sample of cashless tickets. The test shall be documented and attested to by the signatures of at least two count team members.

- (BE) At the end of the soft count process, all cashless tickets shall be bundled-and forwarded to accounting (revenue audit) where they shall be treated as drop for the purpose of reconciliation.

- (CB) For each gaming device, ~~foot~~ reconcile the tickets removed from the bill validator drop box and agree to the system-generated report that list all of the dropped tickets for a given period. This procedure may be accomplished by revenue audit.

1516. The following reports at a minimum shall be generated by the accounting department and reconciled to all validated/redeemed tickets, as well as to the on-line data system on a daily basis:

- (A) Ticket Liability Report;
- (B) Ticket Issuance Report; and
- (C) Ticket Redemption Report.

1617. The gaming operation shall develop and implement procedures to control cashless ticket paper which shall include procedures which:

- (A) Mitigate the risk of counterfeiting of cashless ticket paper;
- (B) Adequately controls the inventory of the cashless ticket paper; and
- (C) Provide for the destruction of all unused cashless ticket paper. If the cashless on-line data system is down for more than four hours, the gaming operation shall promptly notify the Tribal Gaming Office.

1718. If the cashless on-line data system is down for more than four hours, the gaming operation shall promptly notify the Reservation Business Committee.

1819. All applicable accounting and auditing procedures required by NIGC MICS shall be performed.

1920. The on-line data systems shall comply with all standards (as applicable) in the NIGC MICS including but not limited to:

- (A) Standards for currency acceptor drop and count; and
- (B) Standards for coin drop and count.

2021. The on-line data system shall be secured and password controlled. Each user shall have his or her own password and passwords shall be changed and documented at least quarterly.

Dated: 8-21-2007

STATE OF MINNESOTA

Michael Causton
Commissioner of Public Safety

Dated: 8/15/07

GRAND PORTAGE BAND OF
CHIPPEWA

Norman Deschampe
Norman Deschampe, Chairman