

**Table of Contents**  
**Optometry, Board of**

<i>Agency Profile</i> .....	1
Agency Expenditure Overview .....	4
Agency Financing by Fund .....	5
Agency Change Summary .....	6

[mn.gov/boards/optometry/](http://mn.gov/boards/optometry/)

**AT A GLANCE**

**Credentialing Services**

- 1,180 renewed licenses
- 115 new licenses

**Education Services**

- 1,180 reviews of reported continuing education
- 118 audits of reported continuing education
- 176 continuing education program approvals

**Complaint Resolution**

- Investigated 69 complaints
- Formal action for 6 complaints

Source: Annual Board Report For two-year period ended 06/30/2020

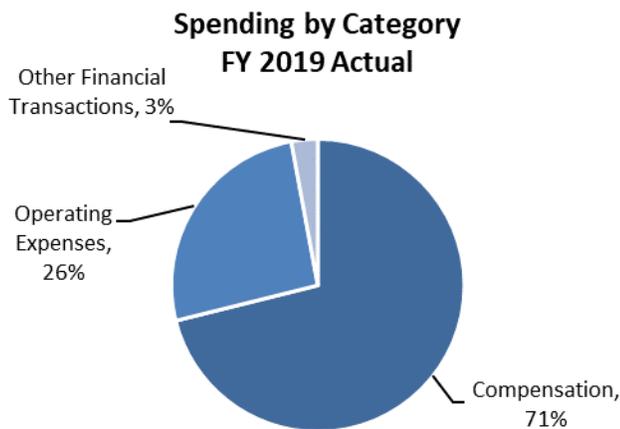
**PURPOSE**

The Minnesota Board of Optometry is responsible for protection of the public through the regulation of the Doctor of Optometry (OD’s) in the state of Minnesota. The Board was established in 1915 under the authority of Minnesota Statutes, Chapter 148, and was the first optometry board in the nation. Regulation is accomplished through licensure examination, annual license renewal, continuing education requirements, as well as providing neutral investigations and resolution of complaints against licensees. Approximately 75% of Minnesotans use some type of vision correction with Optometrists serving as first point of contact for many Minnesotans.

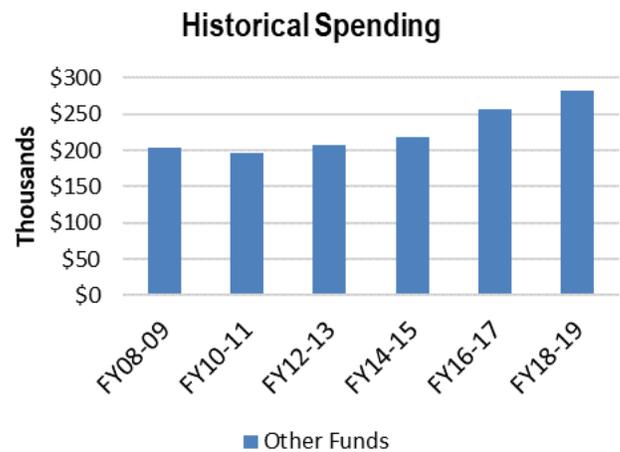
The Board’s mission is to:

- Protect the public through effective licensure and enforcement of the statutes and rules governing the practice of optometry
- Ensure a standard of competent and ethical care
- Provides timely and neutral resolution of complaints

**BUDGET**



Source: Budget Planning & Analysis System (BPAS)



Source: Consolidated Fund Statement

The Board is funded by licensure fees and receives no general fund dollars. Minnesota Statutes section 214.06, subdivision 1(a) requires the Board to collect fees in the amount sufficient to cover direct and indirect expenditures. Funds are deposited as non-dedicated revenue into the state government special revenue fund. From this fund, the Board receives a direct appropriation to pay for agency expenses such as salaries, rent, costs associated with disciplinary/contested cases, and operating expenditures. It also pays statewide indirect costs through an open appropriation.

In addition to Board operations, licensure fees fund activities that support multiple boards and/or other agencies. Some of these are: MNIT – technology, (inter-board) SMART, MMB-Administrative Operations support (inter-board), Health Professionals Services Program (inter-board), Prescription Monitoring Program (Pharmacy Board), Office of the Attorney General for legal services, and the Criminal Background Check Program (inter-board).

Board members communicate openly with licensees to ensure fees are used prudently. The national optometry board, the Association of Regulatory Boards for Optometry (ARBO) completes an annual survey of all fees charged to the nation’s OD’s for licensing activities. The Minnesota Board of Optometry renewal of license fees are in the lowest 25% percentile in the nation.

### STRATEGIES

The Board of Optometry regulates the profession by:

- Setting educational standards for initial licensure.
- Reviewing applicant’s education and training to determine compliance with the board’s licensure requirements.
- Reasonably ensuring that only applicants who meet licensure requirements are granted a license.
- Issuing initial and renew licensure for qualified professionals.
- Setting continuing education requirements for maintenance of licensure.
- Setting standards of practice and taking disciplinary or corrective action against misconduct for licensees.
- Responding to public and agency inquiries, complaints, and reports regarding licensure and conduct of applicants and licensees and completing those reviews in a timely and neutral manner.
- Providing information about licensure requirements and standards of practice to citizens and other interested persons or agencies.

The board provides services to 1,180 licensed optometrists in Minnesota and collaborates with continuing education and provider associations. The current board chair is also the Chair of the national board, the Association of Regulatory Boards of Optometry.

Quarterly board meetings are driven by seven governor-appointed board members with other stakeholders in attendance. Complaints are actively reviewed by an OD and one public member to assure the blending of both perspectives in seeking a timely and neutral review of the concerns presented. The board agenda reflects quality improvement activities with key statistics tracked and shared with the attendees. Board discussion leads to action steps and directives to staff which is listed in the minutes of each board meeting. Those minutes are posted on the website.

### RESULTS

<i>Type of Measure</i>	<i>Name of Measure</i>	<i>Previous</i>	<i>Current</i>	<i>Dates</i>
Quantity	Percent of license renewals completed online	90%	100%	FY 2019 & FY 2020
Quality	Percent of initial applications completed online	83%	67%	FY 2019 & FY 2020
Quantity	Number of complaints received/closed without investigation within three months	39/6	69/50	FY 2019 & 2020

---

The statutory authority for the Minnesota Board of Optometry was updated in 2015 and is located in Chapter 148:  
<https://www.revisor.mn.gov/statutes?id=148.52>  
The rules were updated in July, 2018 and are located in MN Rules Chapter 6500.  
<https://www.revisor.mn.gov/rules?id=6500>  
Additional statutes pertaining to all health licensing boards are found in Chapters 13, 16, and 214.

# Optometry, Board of

# Agency Expenditure Overview

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base	
					FY22	FY23
<b><u>Expenditures by Fund</u></b>						
1201 - Health Related Boards	134	144	171	274	223	223
2000 - Restrict Misc Special Revenue	2	2	2	2	2	2
<b>Total</b>	<b>135</b>	<b>146</b>	<b>173</b>	<b>276</b>	<b>225</b>	<b>225</b>
Biennial Change				167		1
Biennial % Change				59		0
<b><u>Expenditures by Program</u></b>						
Optometry Board	135	146	173	276	225	225
<b>Total</b>	<b>135</b>	<b>146</b>	<b>173</b>	<b>276</b>	<b>225</b>	<b>225</b>
<b><u>Expenditures by Category</u></b>						
Compensation	98	104	115	143	148	149
Operating Expenses	38	38	57	133	77	76
Other Financial Transaction		4	1			
<b>Total</b>	<b>135</b>	<b>146</b>	<b>173</b>	<b>276</b>	<b>225</b>	<b>225</b>
<b><u>Full-Time Equivalents</u></b>						
	<b>0.89</b>	<b>0.93</b>	<b>1.08</b>	<b>1.38</b>	<b>1.38</b>	<b>1.38</b>

(Dollars in Thousands)

	Actual FY18	Actual FY19	Actual FY20	Estimate FY21	Forecast Base FY22 FY23	
<b>1201 - Health Related Boards</b>						
Balance Forward In		40		51		
Direct Appropriation	174	176	227	223	223	223
Cancellations		72	5			
Balance Forward Out	40		51			
<b>Expenditures</b>	<b>134</b>	<b>144</b>	<b>171</b>	<b>274</b>	<b>223</b>	<b>223</b>
Biennial Change in Expenditures				167	1	
Biennial % Change in Expenditures				60	0	
Full-Time Equivalents	0.89	0.93	1.08	1.38	1.38	1.38

**2000 - Restrict Misc Special Revenue**

Balance Forward In		0	0			
Receipts	2	2	2	2	2	2
Balance Forward Out	0	0	0			
<b>Expenditures</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>
Biennial Change in Expenditures				0	0	
Biennial % Change in Expenditures				1	8	

(Dollars in Thousands)

	FY21	FY22	FY23	Biennium 2022-23
<b>Direct</b>				
<b>Fund: 1201 - Health Related Boards</b>				
FY2021 Appropriations	223	223	223	446
Forecast Base	223	223	223	446
<b>Dedicated</b>				
<b>Fund: 2000 - Restrict Misc Special Revenue</b>				
Planned Spending	2	2	2	4
Forecast Base	2	2	2	4
<b>Revenue Change Summary</b>				
<b>Dedicated</b>				
<b>Fund: 2000 - Restrict Misc Special Revenue</b>				
Forecast Revenues	2	2	2	4
<b>Non-Dedicated</b>				
<b>Fund: 1201 - Health Related Boards</b>				
Forecast Revenues	220	220	225	445