

# University of Minnesota

## Capital Appropriations Expenditure Report

January 2015

# Total Allocation Status

Year	Full Allocation	% Spent or Encumbered Under Contract	% Spent, Encumbered or Otherwise Obligated to Complete a Project	Comments
2011	\$ 88,833,000	100%	99%	
2012	\$ 64,060,000	98%	99%	
2014	\$ 119,367,000	21%	96%	

All funds appropriated prior to 2011 are 100% spent or encumbered.

## Definitions

**Allocation:** The Legislative appropriation for each project.

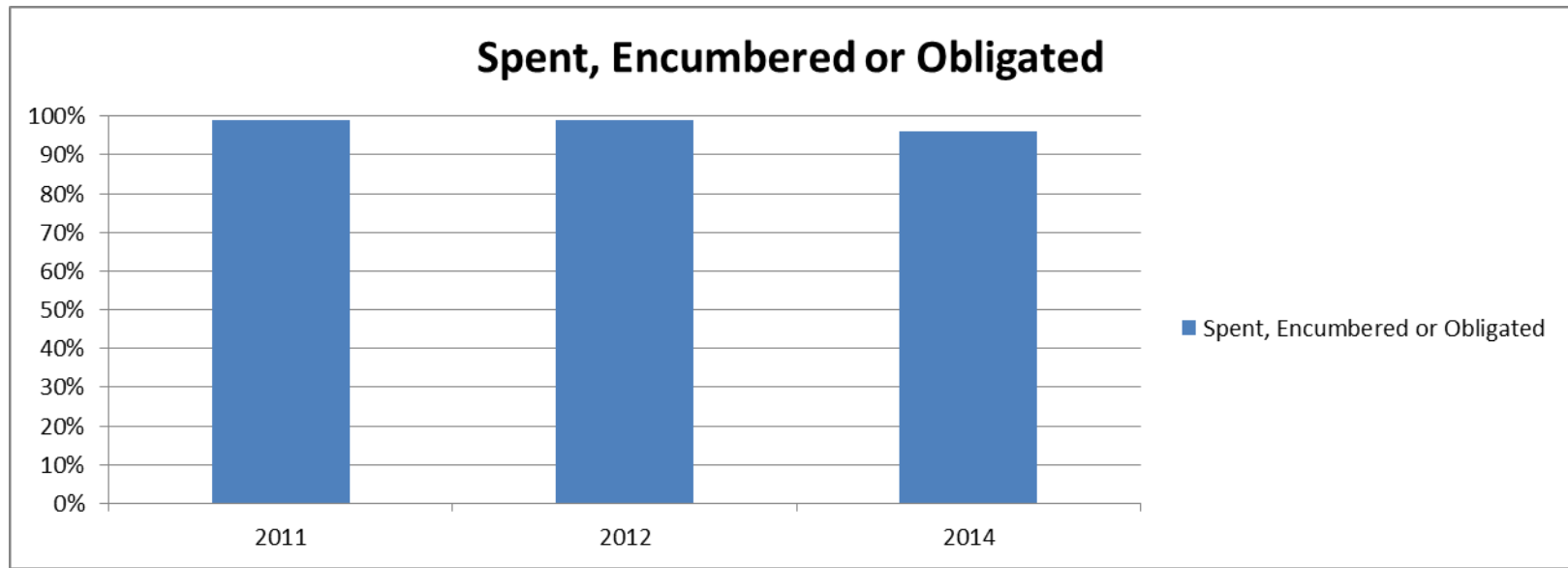
**Spent:** The amount the University has paid to contractors from signed contracts.

**Encumbered:** This includes:

- a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- b. Internal project where work has begun and/or internal purchase order/work has been completed.

**Obligated:** Funds required to complete the project that are not yet under contract.

# Total Allocation Status



All funds appropriated prior to 2011 are 100% spent or encumbered.

# HEAPR Allocation Status

Year	HEAPR Allocation	% Spent or Encumbered Under Contract	% Spent, Encumbered or Otherwise Obligated to Complete a Project	Comments
2011	\$ 25,000,000	98%	99%	
2012	\$ 50,000,000	97%	100%	
2014	\$ 42,500,000	50%	91%	

All funds appropriated prior to 2011 are 100% spent or encumbered.

## Definitions

**Allocation:** The Legislative appropriation for each project.

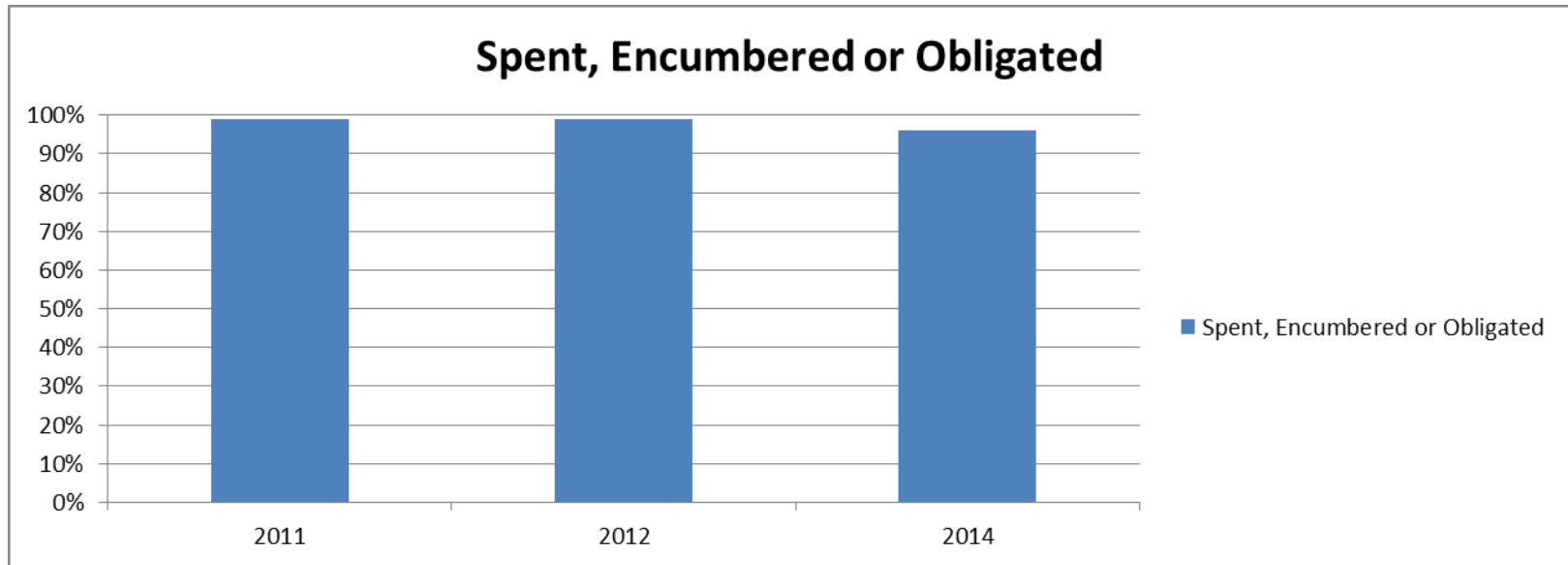
**Spent:** The amount the University has paid to contractors from signed contracts.

**Encumbered:** This includes:

- a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- b. Internal project where work has begun and/or internal purchase order/work has been completed.

**Obligated:** Funds required to complete the project that are not yet under contract.

# HEAPR Allocation Status



All funds appropriated prior to 2011 are 100% spent or encumbered.

# University of Minnesota

Status Report: Total Capital Appropriations 2010 to 2014

Projected 01/31/2015 - Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent or Encumbered	(D) (B-C) Obligated	(E) (B-C-D) Unencumbered	(F) Status
<b><u>2014 State Capital Appropriations: Major Projects</u></b>					
Tate Science & Teaching Renovation	56,700,000	2,140,942	54,559,058	0	Schematic Design
UMC Lysacker Wellness Rec Ctr Addition/Remodel	10,000,000	415,418	9,584,582	0	Design Development
UMD Chemical Science & Advanced Mat'ls Building	1,500,000	43,623	1,456,377	0	Programming
Research Laboratories	8,667,000	573,119	8,093,881	0	
<b>Subtotal - '14 Appropriations: Major Projects</b>	<b>76,867,000</b>	<b>3,173,102</b>	<b>73,693,898</b>	<b>0</b>	
<b><u>2014 State Capital Appropriations: HEAPR Projects</u></b>					
Shevlin Hall Restroom Accessibility Upgrade	59,620	39,224	20,396	0	Construction
Lind Hall Window Replacement	1,526,867	0	0	1,526,867	Initiation
KE Dwan Roof	323,441	298,311	0	25,130	Close-out
Lions/MTR Storm Water Infrastructure	240,000	0	240,000	0	Construction
Keller Hall Exterior Building Envelopment Replacement	2,216,845	0	0	2,216,845	Construction Documents
Hasselmo Hall Chilled Water Connection	145,158	145,158	0	0	Construction
Mech Eng Phase II Renewal-Mech/Elec/Plumbing	21,750,000	20,228,410	1,521,590	0	Construction
Alderman Hall Fire Safety & Ventilation Imprvmnts	3,600,000	10,000	3,590,000	0	Programming
Andrew Boss Lab Fire Sprinklers, Lab Compliance	3,500,000	10,000	3,490,000	0	Programming
Gabbert Raptor Center ADA Restroom/Sprinkler Compliant	50,000	0	50,000	0	Construction
Gabbert Raptor Center Roof Replacement	188,070	137,280	0	50,790	Substantial Completion
UMD Tweed Museum of Art Mech System Upgrd	800,000	215	799,785	0	Programming
UMD Cina Hall HVAC Systems Upgrade	2,920,000	379	2,919,621	0	Design Development
UMM Behmler Hall Fire Alarm Improvements	100,000	5,500	94,500	0	Programming
UMM Blakely Hall Elevator Installatoin	400,000	738	399,262	0	Programming
UMM Humanities HVAC Improvements	320,000	9,600	310,400	0	Programming
UMM Heating Plant Flue Gas Scrubber Replcmnt	500,000	95,200	404,800	0	Schematic Design
UMC Electrical Infrastrucutre Stabilization	3,180,000	312,346	2,867,654	0	Design Development
Cloquet Forestry Ctr Elec Infrastructure	150,000	38,598	111,402	0	Construction
SROC Agronomy & Soils HVAC Improvements	95,000	1,245	93,755	0	Construction
Itasca Facility Improvements(Roofs,Pumps,Windows,Floor	137,000	0	0	137,000	Initiation
Itasca Water System Upgrades	27,000	0	0	27,000	Initiation
Itasca Lake Side Lab ADA & Energy Improvements	17,000	0	0	17,000	Initiation
Cedar Creek Lawrence Lab Septic System Replc	60,000	20,082	39,918	0	Programming
Cedar Creek Multiple HVAC, Electrical & Plumbing	44,000	0	44,000	0	Programming
NWROC Admin Bldg Abatement & Structural Impr	150,000	33,319	116,681	0	Construction
<b>Subtotal - '14 Appropriations: HEAPR Projects</b>	<b>42,500,000</b>	<b>21,385,604</b>	<b>17,113,764</b>	<b>4,000,631</b>	
<b>Total - 2014 State Capital Appropriations</b>	<b>119,367,000</b>	<b>24,558,707</b>	<b>90,807,662</b>	<b>4,000,631</b>	

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Status Report: Total Capital Appropriations 2010 to 2014

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(A) Project Name	(B) Appropriation Amount	(C) Spent or Encumbered	(D) (B-C) Obligated	(E) (B-C-D) Unencumbered	(F) Status
<b><u>2012 State Capital Appropriations: Major Projects</u></b>					
Combined Heat and Power Plant	10,000,000	10,000,000	0	0	Construction Documents
Itasca New Multi-Purpose Building	4,060,000	4,060,000	(0)	0	Construction
<b>Subtotal - '12 Appropriations: Major Projects</b>	<b>14,060,000</b>	<b>14,060,000</b>	<b>0</b>	<b>0</b>	
<b><u>2012 State Capital Appropriations: HEAPR Projects</u></b>					
<b>Completed Projects</b>	28,897,090	28,897,090	(0)	0	
Shevlin Hall Window Replacement	44,000	42,666	1,334	0	Design Development
Lind Hall Roof Replacement	485,000	479,693	(0)	5,307	Close-out
Northrop Plaza Repair	156,354	130,661	0	25,693	Close-out
Vincent Hall Roof Replacement	536,000	523,856	(0)	12,144	Substantial Completion
Murphy Hall Glass Block Repair	109,336	108,544	0	792	Substantial Completion
Amundson Hall Chiller Modifications	106,764	106,764	0	0	Substantial Completion
Civil Engineering Remediation	8,023,952	8,022,196	0	1,756	Close-out
Keller Hall Exterior Building Envelopment Replacement	85,557	22,401	63,155	0	Construction Documents
Faye Thompson Center Roof Replacement	798,591	0	798,591	0	Construction Documents
Wilson Library Roof Replacement	2,360,121	2,357,084	0	3,037	Substantial Completion
Humphrey Water Infiltration - Phase II	723,251	709,577	(0)	13,675	Pacloseout
Akerman Hall Life Safety Code Upgrades	1,210,276	1,183,482	0	26,794	Substantial Completion
Coffey Hall Fire Sprinklers & Asbestos Abatement	1,935,202	1,920,476	0	14,726	Close-out
Coffey Hall Roof Replacement	2,935,000	2,901,640	0	33,360	Close-out
BioSciences Vestibule Abatement/Corrections	195,158	143,264	51,894	0	Construction
UMD Chemistry 4th Floor Emergency Exit	235,000	232,560	(0)	2,440	Substantial Completion
UMD SPHC HVAC	50,000	36,122	13,878	0	Design Development
UMD Cina Hall HVAC Systems Upgrade	285,770	161,339	124,431	0	Design Development
UMM Blakely Hall Elevator Installatoin	187,403	11,262	176,141	0	Programming
UMM Heating Plant Flue Gas Scrubber	107,300	107,300	0	0	Schematic Design
UMC HEAPR Projects	7,868	0	0	7,868	N/A
UMC Sahlstrom Conference Ctr Fire & Life Safety	415,000	412,299	0	2,701	Close-out
NCROC Building Energy/Electrical Upgrades	45,296	35,954	9,341	0	Construction
Itasca Lab Dining Hall Roof Replacement	53,712	25,262	28,450	0	Construction
Itasca #3 Well Connection to #7 Well	11,000	9,255	1,745	0	Construction
<b>Subtotal - '12 Appropriations: HEAPR Projects</b>	<b>50,000,000</b>	<b>48,580,746</b>	<b>1,268,961</b>	<b>150,293</b>	
<b>Total - 2012 State Capital Appropriations</b>	<b>64,060,000</b>	<b>62,640,746</b>	<b>1,268,961</b>	<b>150,293</b>	

# University of Minnesota

Status Report: Total Capital Appropriations 2010 to 2014

Projected 01/31/2015 - Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent or Encumbered	(D) (B-C) Obligated	(E) (B-C-D) Unencumbered	(F) Status
<b><u>2011 State Capital Appropriations: Major Projects</u></b>					
<b>Completed Projects</b>	63,833,000	63,833,000	0	0	
<b>Subtotal - '11 Appropriations: Major Projects</b>	<b>63,833,000</b>	<b>63,833,000</b>	<b>0</b>	<b>0</b>	
<b><u>2011 State Capital Appropriations: HEAPR Projects</u></b>					
<b>Completed Projects</b>	10,287,289	10,287,289	0	0	
Smith Hall Women's Restroom Remodel	93,141	73,194	0	19,947	Close-out
KE Dwan Emergency Power/Generator System Upgrade	75,000	0	75,000	0	Programming
Faye Thompson Center Roof Replacement	149,000	0	149,000	0	Construction Documents
Code Compliance of 37 Elevators	14,269,570	14,247,590	(0)	21,980	Close-out
Vet Med Center South Roof Replacement Section P	126,000	0	126,000	0	Design Development
<b>Subtotal - '11 Appropriations: HEAPR Projects</b>	<b>25,000,000</b>	<b>24,608,073</b>	<b>350,000</b>	<b>41,927</b>	
<b>Total - 2011 State Capital Appropriations</b>	<b>88,833,000</b>	<b>88,441,073</b>	<b>350,000</b>	<b>41,927</b>	
<b><u>2010 State Capital Appropriations: Major Projects</u></b>					
<b>Completed Projects</b>	31,891,746	31,891,746	0	0	
<b>Subtotal - '10 Appropriations: Major Projects</b>	<b>31,891,746</b>	<b>31,891,746</b>	<b>0</b>	<b>0</b>	
<b><u>2010 State Capital Appropriations: HEAPR Projects</u></b>					
<b>Completed Projects</b>	57,775,254	57,775,254	0	0	
<b>Subtotal - '10 Appropriations: HEAPR Projects</b>	<b>57,775,254</b>	<b>57,775,254</b>	<b>0</b>	<b>0</b>	
<b>Total - 2010 State Capital Appropriations</b>	<b>89,667,000</b>	<b>89,667,000</b>	<b>0</b>	<b>0</b>	
<b>Grand Totals 2010 to 2014</b>	<b>361,927,000</b>	<b>265,307,526</b>	<b>92,426,623</b>	<b>4,192,851</b>	



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Status Report: Total Capital Appropriations 2010 to 2014

Projected 01/31/2015 - Definitions on last page

(A)	(B)	(C)	(D)	(E)	(F)
Project Name	Appropriation Amount	Spent or Encumbered	(B-C) Obligated	(B-C-D) Unencumbered	Status

**Notes:**

1) Definitions of columns:

- B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.
- C. Spent or Encumbered: This includes three categories.
  - a. Amount the University has paid to contractors from signed contracts.
  - b. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
  - c. Internal project where work has begun and/or internal purchase order/work has been completed.
- D. Obligated: Funds required to complete the project that are not yet under contract.
- E. Unencumbered: Contingency funds remaining in the project after the construction phase.

2) Definitions of project phases:

- a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program, and establishing the functional and physical relationships of those components.
- b. Schematic Design Development: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building exterior finishes; and Design Development criteria for structural, mechanical, and electrical systems.
- c. Design Development: Developing the preliminary Design Development into a detailed Design Development that establishes final floor plans, building elevations, room finishes, building systems, furnishings, and equipment.
- d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.
- e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.
- f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction document.
- g. Substantial Completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.
- h. Closeout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.

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Status Report: Total Capital Appropriations 2010 to 2014

Projected 01/31/2015 - Definitions on last page

(A) Project Name	(B) Appropriation Amount	(C) Spent or Encumbered	(D) (B-C) Obligated	(E) (B-C-D) Unencumbered	(F) Status
<b><u>Summary of University State Capital Appropriations</u></b>					
<b><u>Total Dollars by Status</u></b>					
2014 Appropriations					
Major Projects	76,867,000	3,173,102	73,693,898	0	
HEAPR Projects	42,500,000	21,385,604	17,113,764	4,000,631	
Subtotal	119,367,000	24,558,707	90,807,662	4,000,631	
2012 Appropriations					
Major Projects	14,060,000	14,060,000	0	0	
HEAPR Projects	50,000,000	48,580,746	1,268,961	150,293	
Subtotal	64,060,000	62,640,746	1,268,961	150,293	
2011 Appropriations					
Major Projects	63,833,000	63,833,000	0	0	
HEAPR Projects	25,000,000	24,608,073	350,000	41,927	
Subtotal	88,833,000	88,441,073	350,000	41,927	
2010 Appropriations					
Major Projects	31,891,746	31,891,746	0	0	
HEAPR Projects	57,775,254	57,775,254	0	0	
Subtotal	89,667,000	89,667,000	0	0	
<b>Grand Total: 2010-2014 Appropriations</b>	361,927,000	265,307,526	92,426,623	4,192,851	
<b>Major Projects</b>	186,651,746	112,957,848	73,693,898	0	
<b>HEAPR and Converted HEAPR Projects Only</b>	175,275,254	152,349,678	18,732,725	4,192,851	
<b><u>Total Percent by Status</u></b>					
2014 Appropriations					
Major Projects	76,867,000.00	4%	96%	0%	
HEAPR Projects	42,500,000.00	50%	40%	9%	
Subtotal	119,367,000.00	21%	76%	4%	
2012 Appropriations					
Major Projects	14,060,000.00	100%	0%	0%	
HEAPR Projects	50,000,000.00	97%	3%	0%	
Subtotal	64,060,000.00	98%	2%	1%	
2011 Appropriations					
Major Projects	63,833,000.00	100%	0%	0%	
HEAPR Projects	25,000,000.00	98%	1%	1%	
Subtotal	88,833,000.00	100%	0%	0%	
2010 Appropriations					
Major Projects	31,891,745.71	100%	0%	0%	
HEAPR Projects	57,775,254.29	100%	0%	0%	
Subtotal	89,667,000.00	100%	0%	0%	
<b>Grand Total: 2010-2014 Appropriations</b>	361,927,000.00	73%	26%	1%	
<b>Major Projects</b>	186,651,745.71	61%	39%	0%	
<b>HEAPR and Converted HEAPR Projects Only</b>	175,275,254.29	87%	11%	2%	