

**ANNUAL REPORT  
OF THE  
MINNESOTA  
CLIENT SECURITY BOARD**

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**July 2004**

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**I. YEAR IN REVIEW**

Rule 1.10, Minnesota Rules of the Client Security Board (MRCSB), provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the fund, its operation, its assets and liabilities.

This seventeenth annual report of the Minnesota Client Security Board covers the Board's fiscal year, FY2004, which began July 1, 2003, and ended on June 30, 2004. Highlights from the past year include:

**Stephen Rondestvedt claims.** The most significant of the Board's actions this past year involves the record dollar amount of claims approved against disbarred lawyer Stephen Rondestvedt. In September 2003, Mr. Rondestvedt walked into the lawyer disciplinary agency and revealed that he had misappropriated approximately \$700,000 in client funds and was prepared to surrender his license. He was disbarred by the Minnesota Supreme Court and charged criminally by the United States Attorney. He entered a guilty plea and is awaiting sentencing.

The Client Security Board staff contacted potential victims shortly after Rondestvedt's misappropriations became known. Fourteen claims against Rondestvedt were approved at the Board's January 2004 meeting, with another seven claims approved at the March meeting. One more claim against Rondestvedt was resolved at the recent June 2004 meeting. One claim was withdrawn after an insurance company renegotiated a workers' compensation settlement with the victim. The claims approved thus far total \$745,929.78. This total overwhelmingly has made Rondestvedt the attorney against whom the highest dollar amount of claims has been approved in Board history, even with 3 more claims left to resolve. The second highest total is almost \$200,000 behind.<sup>Ftn1</sup>

The amount paid out on Rondestvedt's behalf also resulted in the Board paying out the highest dollar amount for claims in one year in the Board's seventeen-year history. The Board paid claims totaling \$759,008.78 this past year. The previous record had been \$705,524 in 1996 (*see* Appendix 2, A.3). Because the payments this year far exceeded the Board's projected annual budget for claims, special approval from the Supreme Court was obtained in order to pay Rondestvedt's victims in the current fiscal year, so as not to require them to wait longer.

**Statistics.** The Client Security Board paid 31 claims this year, in the total amount of \$759,008.78 (*see* Appendix 1, A. 1-2).<sup>Ftn2</sup> Fifty-one (51) new claims were filed this past year, including the 30 claims against Rondestvedt. Only twelve (12) claims were carried-over from the previous year. As noted, 31 claims were approved for payment, 12 claims were denied and 4 claims were withdrawn after being paid either by the attorney or an insurance company. At the end of June 2004, 16 claims against 11 lawyers remain pending before the Board. In some instances related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after seventeen years of paying claims, the Board has now paid \$5,131,899.50 on 413 claims against 110 lawyers (*see* Appendix 4, A. 5-7).

**Other items.** In addition to its primary function of paying victims of lawyer dishonesty, the Board also approved a resolution to support a malpractice insurance disclosure rule that is being considered by the Minnesota State Bar Association's Rules of Professional Conduct Committee. Essentially, such a rule will create an obligation to provide information concerning whether an attorney in private practice maintains malpractice insurance on the annual attorney registration form, which then would be public information available to prospective clients upon request.

The ABA Standing Committee on Client Protection has urged adoption of such a rule by the ABA and by the states. Several states, including South Dakota, Michigan and Illinois, either have a rule or are in the process of adopting one. The MSBA Rules of Professional Conduct Committee established a subcommittee to study the issue, and they invited the Client Security Board to become an *ex-officio* member.

Even though paying malpractice claims is outside the scope of the Client Security Board's authority, the Board frequently receives such claims and then must deny them. It is the most common reason to deny

claims. In many instances it is clear that a malpractice victim will have no realistic means of recovery. Thus, the issue is one of client protection in general, and within the Board's concern.

Finally, in the area of subrogation, a significant and unexpected payment was received this past year. Many years ago the Board paid one claim against disbarred attorney Richard Batdorf. Although Batdorf misappropriated approximately \$200,000 from an elderly couple, the Board paid \$50,000 to the victims, which was the maximum amount authorized under the Board's rules at the time (it is currently \$150,000 per claim). The Board obtained a judgment against Batdorf in 1993, but had been successful in collecting only a few hundred dollars from Batdorf over the years. Recently the Attorney General, on the Board's behalf, sought to renew the judgment, even though it was perceived as being somewhat futile. In response to being notified, Batdorf unexpectedly agreed to and did pay the Board in full plus interest (\$71,000).

## II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

**Board Members.** As of June 30, 2004, the following individuals served on the Board (*see* Appendix 3; A.4):

| <u>Name</u>               | <u>City</u>  | <u>Term Expires</u>         |
|---------------------------|--------------|-----------------------------|
| Margaret J. Westin, Chair | Minneapolis  | June 30, 2005 (second term) |
| Gary G. Fuchs             | Eagan        | June 30, 2005 (first term)  |
| Robert T. Lund            | Bloomington  | June 30, 2006 (first term)  |
| Judith A. Pinke           | Minneapolis  | June 30, 2004 (second term) |
| Michael T. Rengel         | Fergus Falls | June 30, 2004 (first term)  |
| Sally DeLaittre Sawyer    | Minneapolis  | June 30, 2005 (first term)  |
| John S. Watson            | Minneapolis  | June 30, 2006 (second term) |

Margaret Westin, an attorney with Special School District No. 1, Minneapolis Public Schools, was elected and served as the Board's Chair for the past year. Ms. Westin has one year remaining on her final term on the Board and will continue as Chair for the upcoming year. One new member was named to the Board this year: Robert Lund, an attorney from Bloomington. Mr. Lund has brought to the Board substantial experience in litigation and analyzing professional liability claims.

Public member Judith Pinke completed her second term on the Board and will be greatly missed. Her insistence on consistent application of the rules and ability to cut to the heart of a complex matter was representative of the outstanding public board members who have served the legal profession in Minnesota. The Court recently appointed St. Paul accountant Bonnie R. Russ to fill Ms. Pinke's position on the Board. Ms. Sawyer is the Board's other public member.

The Minnesota State Bar Association (MSBA) nominates three of the five attorney members; currently Ms. Westin, John Watson and Michael Rengel. Mr. Rengel recently was appointed for a second three-year term on the Board, upon the recommendation of the MSBA.

Justice James Gilbert has been the Board's liaison justice on the Supreme Court. He attended the Board's most recent meeting and made a presentation to Ms. Pinke. Justice Gilbert has announced that he is leaving the Supreme Court this summer. The Board greatly appreciates his interest and assistance over the past several years and he will be dearly missed. The Board looks forward to working with whomever is appointed to succeed Justice Gilbert as liaison.

**Funding and Budget Procedures.** All active lawyers in Minnesota paid \$12 per year to support the

Fund through the end of this current fiscal year. In 1998, the Supreme Court recommended parameters for the fund of \$1.5-million and \$2.5-million, with the Board to report to the Court if the fund drops below or exceeds these figures. Largely due to the record claims payout this year, the Board presently projects a year-end balance of \$1.98-million, and projects a balance of only \$1.65-million after FY2005. Just last year the Board was able to recommend to the Supreme Court that the assessment in favor of the Board could be lowered to the current \$12 per year per attorney. At the time, the Board noted, "This lower amount will slow the growth of the Fund and, absent a major defalcation crisis, the Fund balance should remain stable for the next few years, as income and expenditures will be roughly equal." Unfortunately, just such a major defalcation crisis occurred in the Rondestvedt matter. The Board will closely monitor the Fund's health this coming year to determine whether an increase in the annual assessment will be necessary.

The Board does not handle any funds directly nor the investment of the Fund. The assessment is collected through the Office of Attorney Registration and placed into a segregated fund within the State Treasury. This past year the assessment generated approximately \$236,000. The Board also received approximately \$29,000 in interest income and approximately \$87,000 in restitution payments from lawyers on whose behalf claims have been paid.

With the continued reduced assessment, the Board anticipates total income from all sources this coming year of approximately \$291,000. The Board has budgeted \$614,000 in total expenditures for next year, based upon the information presently available to the Board concerning pending claims or known potential claims. Thus, \$550,000 has been budgeted for claims' payment next year, less than the record amounts paid out this year, but still more than the most years' total. Just over \$60,000 has been budgeted for all staff services, supplies and additional regular enhancements to the Board's computer database.

**Administration.** The Office of Lawyers Professional Responsibility provides staff services to the Client Security Board. Ken Jorgensen has completed his first full year as Director of the Client Security Board. Assistant Director Martin Cole continues to handle most daily operations for the Board. Mr. Cole also serves as a director-at-large of the National Client Protection Organization (NCPO). Tricia Jorgensen is the Board's paralegal, and put in considerable extra time this past year investigating the many claims against Stephen Rondestvedt. Usually the disciplinary agency has completed most of the investigation during that stage in the process. With Mr. Rondestvedt, however, most of the actual claimant contact, document accumulation and analysis was performed by Client Security Board staff due to his prompt consent to disbarment.

Following each meeting, the Board issues a press release pursuant to the Board's policy. The Board posts its press releases on the Board's Internet website as well, in the hopes that the public better will be able to obtain information about the Board's activities. The site also contains answers to frequently asked questions (FAQ), the Board's rules, claim form, staff directory, a copy of the latest annual report and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 5-7). The site also has links to articles written about the Client Security Board.<sup>Ftn3</sup>

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys on whose behalf the Board has paid claims, or against any third persons from whom payments may be legally obtained. This past year, Assistant Attorney General Jessica Palmer-Denig became the Board's attorney. She already has exhibited considerable energy and willingness to pursue Board matters. She and Legal Assistant Laura Kelly provide outstanding representation for the Board. The Board pays no attorney's fees for this representation, but is responsible for direct costs of collection efforts

and litigation. The final amount expected to be recovered this year is \$87,336, thanks largely to the unexpected repayment of \$71,000 received from Richard Batdorf, as mentioned in the highlights section above. The Board has budgeted this coming year to recover \$24,097, which is consistent with the average amount collected over the past several years.

Also as a part of the collection process, the Board may forward matters to the Minnesota Collection Enterprise (MCE), an agency created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are to be contested, or that appear capable of prompt resolution, other matters are now referred to MCE to pursue.

**Claims Procedure.** Claims are initiated by submitting the claim to the Director's Office on forms approved by the Board. Claimants are provided the forms and a brochure to help explain the process. The claim form, and copies of the Board's rules are also available via the Internet at the Board's website. The respondent attorney is provided an opportunity to respond in writing, although frequently no response is received. The Board also has access to all lawyer disciplinary files, from which considerable information is often obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board occasionally exercises its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights. One recurring situation where direct claimant efforts are appropriate is when the dishonesty involves forged instruments that were honored by a financial institution.

In most cases, attorney disciplinary proceedings will have been completed before any Client Security payment is made. The Board generally relies upon findings made in related lawyer disciplinary action concerning misappropriation, or in related civil or criminal cases whenever possible.

If a claim is denied, the claimant is notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

### III. GOALS AND OBJECTIVES

Unlike most recent years, the Board will not have a new Chair this year. Continuity in leadership will help ensure that any funding issues are addressed promptly and fully. The Fund's principal goal, as always, is to provide as close to 100 percent payment to 100 percent of the valid claims, subject to the Board's maximum payment of \$150,000. Prompt resolution of claims following disciplinary or criminal proceedings is the other major goal for the Board every year.

Dated: July 13, 2004.

Respectfully submitted,

MARGARET J. WESTIN, CHAIR  
MINNESOTA CLIENT SECURITY BOARD

Dated: July 14, 2004.

KENNETH L. JORGENSEN, DIRECTOR  
MINNESOTA CLIENT SECURITY BOARD

Dated: July 14, 2004

MARTIN A. COLE  
ASSISTANT DIRECTOR

## Footnotes \_\_\_\_\_

<sup>1</sup> The attorneys on whose behalf the Board has paid the highest amounts are (and *see* Appendix 4, A.5-7):

|  |               |             |
|--|---------------|-------------|
| 1. Stephen J. Rondestvedt                                | \$ 745,929.78 | (22 claims) |
| 2. Dennis J. Morgeson and<br>Bruce C. Wyant<br>(jointly) | \$ 547,922.67 | (8 claims)  |
| 3. Peter I. Orlins                                       | \$ 419,843.39 | (11 claims) |
| 4. Mark A. Sampson                                       | \$ 404,742.04 | (20 claims) |
| 5. Steve C. Samborski                                    | \$ 231,829.50 | (23 claims) |

<sup>2</sup> Claims were paid against the following attorneys in the following amounts:

|                        |           |           |
|------------------------|-----------|-----------|
| Stephen J. Rondestvedt | 22 claims | \$745,929 |
| Steven F. Soronow      | 5 claims  | \$ 7,330  |
| Michael T. Flodine     | 1 claim   | \$ 3,000  |
| Richard J. Coleman     | 1 claim   | \$ 1,000  |
| Richard Jellinger      | 1 claim   | \$ 1,000  |
| Thomas Brudvig         | 1 claim   | \$ 749    |

<sup>3</sup> The Client Security Board website address is: [www.courts.state.mn.us/csb/csb.html](http://www.courts.state.mn.us/csb/csb.html).

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**APPENDIX 1**

**[Click here to view Awards of Reimbursement](#)**

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**APPENDIX 2**

**CLIENT SECURITY FUND FINANCIAL HISTORY**

| <b>Fiscal Year</b> | <b>Contribution by Bar</b> | <b>Investment Income</b> | <b>Restitution</b> | <b>Number of Claims Paid</b> | <b>Amt. Paid to Claimants</b> | <b>Other Expenses</b> | <b>Balance Year End</b> |
|--------------------|----------------------------|--------------------------|--------------------|------------------------------|-------------------------------|-----------------------|-------------------------|
| 1990               | \$ 79,350                  | \$ 70,952                | \$ 768             | 25                           | \$ 260,561                    | \$ 22,884             | \$ 744,416              |
| 1991               | \$ 137,851                 | \$ 66,264                | \$ 39,249          | 23                           | \$ 235,316                    | \$ 28,905             | \$ 723,559              |
| 1992               | \$ 328,954                 | \$ 52,748                | \$ 14,302          | 28                           | \$ 150,180                    | \$ 30,490             | \$ 938,893              |
| 1993               | \$ 353,560                 | \$ 49,156                | \$ 12,104          | 16                           | \$ 200,681                    | \$ 33,170             | \$1,119,862             |
| 1994               | \$ 369,320                 | \$ 47,244                | \$ 9,830           | 24                           | \$ 123,600                    | \$ 24,538             | \$1,398,118             |
| 1995               | \$ 349,424                 | \$ 85,075                | \$ 37,075          | 14                           | \$ 62,421                     | \$ 25,471             | \$1,781,800             |
| 1996               | \$ 368,450                 | \$ 82,630                | \$ 31,361          | 22                           | \$ 705,524                    | \$ 35,427             | \$1,523,290             |

|      |            |            |            |    |            |           |             |
|------|------------|------------|------------|----|------------|-----------|-------------|
| 1997 | \$ 375,730 | \$ 94,547  | \$ 23,797  | 12 | \$ 103,073 | \$ 27,207 | \$1,887,084 |
| 1998 | \$ 255,762 | \$ 119,276 | \$ 25,217  | 35 | \$ 341,984 | \$ 40,481 | \$1,904,874 |
| 1999 | \$ 325,207 | \$ 118,078 | \$ 200,416 | 42 | \$ 413,231 | \$ 35,575 | \$2,099,769 |
| 2000 | \$ 328,746 | \$ 121,970 | \$ 45,783  | 23 | \$ 481,187 | \$ 50,814 | \$2,064,267 |
| 2001 | \$ 333,805 | \$ 134,507 | \$ 80,028  | 14 | \$ 99,073  | \$ 61,436 | \$2,452,098 |
| 2002 | \$ 342,067 | \$ 89,230  | \$ 75,961  | 38 | \$ 330,117 | \$ 58,390 | \$2,570,849 |
| 2003 | \$ 322,891 | \$ 61,824  | \$ 22,994  | 27 | \$ 140,272 | \$ 35,028 | \$2,803,258 |

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### APPENDIX 3

#### Minnesota Client Security Board Members

1987-2004

#### CHAIRS

|                            |                    |                  |
|----------------------------|--------------------|------------------|
| <u>Melvin I. Orenstein</u> | <u>Minneapolis</u> | <u>1987-1993</u> |
| Nancy L. Vollertsen        | Rochester          | 1993-1995        |
| Bailey W. Blethen          | Mankato            | 1995-1997        |
| Kim Buechel Mesun          | St. Paul           | 1997-1999        |
| Daniel L. Bowles           | Edina              | 1999-2000        |
| Daniel L. Rust             | Crookston          | 2000-2001        |
| Timothy J. Kuntz           | South St. Paul     | 2001-2002        |
| Richard I. Diamond         | Minnetonka         | 2002-2003        |
| Margaret L. Westin         | Minneapolis        | 2003-2004        |

#### BOARD MEMBERS

|                                    |                 |                  |
|------------------------------------|-----------------|------------------|
| <u>*Sister Mary Madonna Ashton</u> | <u>St. Paul</u> | <u>1992-1998</u> |
| Bailey W. Blethen                  | Mankato         | 1991-1997        |
| Daniel L. Bowles                   | Edina           | 1994-2000        |
| *Sandra Brown                      | Minnetonka      | 1990-1996        |
| Kim Buechel Mesun                  | St. Paul        | 1993-1999        |
| Richard I. Diamond                 | Minnetonka      | 1997-2003        |
| Gary G. Fuchs                      | Eagan           | 2002-            |
| Gilbert W. Harries                 | Duluth          | 1987-1991        |
| *Jean L. King                      | St. Paul        | 1987-1992        |
| Timothy J. Kuntz                   | South St. Paul  | 1996-2002        |
| Earle F. Kyle IV                   | Minneapolis     | 1993-1996        |
| Robert T. Lund                     | Bloomington     | 2003-            |
| *Beverly K. McKinnell              | St. Paul        | 1996-2002        |
| Melvin I. Orenstein                | Minneapolis     | 1987-1993        |
| *Constance S. Otis                 | St. Paul        | 1987-1990        |
| *Judith A. Pinke                   | Minneapolis     | 1998-2004        |
| Michael T. Rengel                  | Fergus Falls    | 2001-            |
| Daniel L. Rust                     | Crookston       | 1995-2001        |
| Warren R. Sagstuen                 | Minneapolis     | 2000             |
| *Sally D. Sawyer                   | Minneapolis     | 2002-            |
| Ronald B. Sieloff                  | St. Paul        | 1987-1994        |

James B. Vessey  
 Nancy L. Vollertsen  
 John S. Watson  
 Margaret L. Westin

Minneapolis  
 Rochester  
 Minneapolis  
 Minneapolis

1987-1993  
 1987-1995  
 2000-  
 1999-

\*Public Members

APPENDIX 4

2004 CSB CLAIMS PAID PER ATTORNEY

| Attorney               | Number of Claims Paid | Total of Claims Paid | Disciplinary Action | City           |
|------------------------|-----------------------|----------------------|---------------------|----------------|
| Anderson, Harold W. E. | 2                     | \$39,258.97          | Disbarred           | Grand Forks    |
| Andrew, John M.        | 2                     | \$100,000.00         | Disbarred           | Shoreview      |
| Barta, Loren M.        | 3                     | \$8,947.93           | Suspended           | Prior Lake     |
| Batdorf, Richard K.    | 1                     | \$50,000.00          | Disbarred           | Minneapolis    |
| Benson, John T.        | 1                     | \$50,000.00          | Disbarred           | St. Paul       |
| Bieter, Thomas         | 2                     | \$1,500.00           | Disability/Inactive | Duluth         |
| Brehmer, David L.      | 7                     | \$7,656.25           | Disbarred           | Bloomington    |
| Thomas M. Brudvig      | 4                     | \$3,365.00           | Suspended           | Roseville      |
| Campbell, Dyan L.      | 5                     | \$2,953.53           | Suspended           | North St. Paul |
| Carpenter, Gregory A.  | 1                     | \$1,000.00           | Suspended           | Minneapolis    |
| Chacon, Jeanne T.      | 1                     | \$700.00             | Disbarred           | Shakopee       |
| Cohen, Sr., Edward M.  | 1                     | \$2,245.83           | Disbarred           | St. Louis Park |
| Coleman, Richard J.    | 1                     | \$1,000              | Public Reprimand    | West St. Paul  |
| Crissey, Heidi         | 1                     | \$3,000.00           | Suspended           | Stillwater     |
| Danna, Anthony A.      | 3                     | \$81,625.00          | Disbarred           | St. Paul       |
| Davis, Daniel A.       | 3                     | \$44,486.66          | Disbarred           | Edina          |
| Day, Richard G.        | 2                     | \$1,000.00           | Suspended           | Edina          |
| Douglas, Bruce C.      | 11                    | \$225,309.60         | None                | Edina          |
| Dovolis, Helen A.      | 15                    | \$68,317.19          | Disbarred           | Minneapolis    |
| Dygert, Robert W.      | 3                     | \$70,491.00          | Disbarred           | Minneapolis    |
| Erickson, Bruce E.     | 2                     | \$1,995.00           | Suspended           | Winona         |
| Feldman, John H.       | 2                     | \$12,954.00          | Disbarred           | Minneapolis    |
| Flanagan, John J.      | 6                     | \$113,626.59         | Disbarred           | St. Paul       |
| Flodine, Michael T.    | 1                     | \$3,000.00           | Suspended           | St. Paul       |
| French, Rodney M.      | 6                     | \$4,062.50           | Suspended           | Minneapolis    |
| Getty, Paris DonRay    | 5                     | \$24,278.00          | Disbarred           | St. Paul       |
| Goldstein, Robert Mark | 4                     | \$11,173.40          | Disbarred           | St. Paul       |
| Gomsrud, Richard G.    | 1                     | \$700.00             | Suspended           | St. Paul       |
| Graham, Timothy E.     | 3                     | \$6,257.98           | Disbarred           | Rochester      |
| Grzybek, John E.       | 1                     | \$750.00             | Disbarred           | St. Paul       |
| Gurstel, Norman K.     | 33                    | \$147,270.05         | Disbarred           | Edina          |
| Hanvik, James T.       | 1                     | \$264.00             | Suspended           | Minneapolis    |
| Harp, Reynaud L.       | 2                     | \$3,702.00           | Disbarred           | St. Paul       |
| Heikens, Steven G.     | 2                     | \$12,800.00          | Suspended           | Minneapolis    |



|                            |    |              |                                  |                  |
|----------------------------|----|--------------|----------------------------------|------------------|
| Heikkila, Neil D.          | 2  | \$90,916.82  | Disbarred                        | Hopkins          |
| Hendricksen, Harald F.     | 2  | \$17,875.00  | Suspended                        | Annandale        |
| Henke, David E.            | 1  | \$1,000.00   | Suspended                        | Spring Lake Park |
| Hollender, R. Fred         | 1  | \$2,227.74   | None                             | Minneapolis      |
| Hunter, James W.           | 5  | \$21,900.00  | Disbarred                        | Minneapolis      |
| Isaacs, Clark F.           | 1  | \$535.78     | Disbarred                        | St. Paul         |
| Jellinger, Richard         | 1  | \$1,000.00   | Disbarment (stayed)<br>Suspended | Anoka            |
| Johnson, Richard W.        | 2  | \$9,362.00   | Disbarred                        | Red Wing         |
| Johnson, Ronald J.         | 1  | \$7,196.71   | Disbarred                        | Hopkins          |
| Kadinger, George M.        | 2  | \$1,500.00   | Suspended                        | St. Paul         |
| Kaszynski, William P.      | 1  | \$550.00     | Disbarred                        | St. Paul         |
| Kinnunen, Steven J.        | 1  | \$500.00     | Suspended                        | Minneapolis      |
| Klane, Murray R.           | 1  | \$94,647.88  | Disbarred                        | Minnetonka       |
| LaChapelle, Arthur W.      | 2  | \$18,400.00  | Disbarred                        | St. Paul         |
| Ladd, William L.           | 13 | \$49,542.60  | Disbarred                        | Minneapolis      |
| Larsen, Dean D.            | 1  | \$40,000.00  | Disbarred                        | Eden Prairie     |
| Leino, Stanley J.          | 6  | \$9,921.06   | Suspended                        | Scandia          |
| Levenstein, Eli C.         | 1  | \$368.00     | Suspended                        | Minneapolis      |
| Logan, Diana Smith         | 3  | \$560.00     | Suspended                        | Minneapolis      |
| Magadance, Pamela M.       | 1  | \$585.00     |                                  | St. Paul         |
| Maresh, Thomas F.          | 1  | \$6,500.00   | Disbarred                        | Eden Prairie     |
| Marshall, Gary L.          | 7  | \$24,170.00  | Disbarred                        | Hoffman          |
| McCarthy, Justin H.        | 2  | \$58,679.24  | Disbarred                        | St. Louis Park   |
| McGrath, F. Patrick        | 1  | \$1,128.00   | Suspended                        | St. Paul         |
| McNabb, Gerald             | 13 | \$169,349.24 | Disbarred                        | St. Paul         |
| Merlin, Carol Sue          | 1  | \$500.00     | Suspended                        | Minneapolis      |
| Meshbesher, Richard M.     | 2  | \$5,500.00   | None                             | Minnetonka       |
| Moe, Carlton E.            | 1  | \$89,325.52  | Disbarred                        | West St. Paul    |
| Morgeson, Sr., Dennis John | 8  | \$547,922.67 | Disability                       | Edina            |
| Mose, William G.           | 2  | \$400.00     | Suspended                        | Bloomington      |
| Murphy, Gerald W.          | 9  | \$4,980.99   | Disbarred                        | Duluth           |
| Olsen, Lawrence E.         | 1  | \$50,000.00  | Disbarred                        | Bloomington      |
| Orlins, Peter I.           | 11 | \$419,843.39 | Disbarred                        | Richfield        |
| Ornstein, Mitchell R.      | 1  | \$919.75     | Suspended                        | Minneapolis      |
| Ostfield, Benjamin J.      | 3  | \$15,297.72  | Disbarred                        | Minneapolis      |
| Ostroot, Timothy V.        | 1  | \$1,200.00   | Disbarred                        | Champlin         |
| Palm, Dennis Lee           | 2  | \$4,080.00   | Disability/Inactive              | Little Canada    |
| Pang, Gary Y.              | 3  | \$6,323.00   | Disbarred                        | Minneapolis      |
| Pearson, Kenneth R.        | 2  | \$39,000.00  | Disbarred                        | Golden Valley    |
| Pegg, J. C.                | 1  | \$2,500.00   | Reprimanded                      | Owatonna         |
| Ploetz, John W.            | 2  | \$108,494.71 | Disbarred                        | St Paul          |
| Plowman, George E.         | 4  | \$81,144.77  | Disbarred                        | Prior Lake       |

|  |            |                       |            |                     |
|--|------------|-----------------------|------------|---------------------|
| Polt, Thomas M.                                | 3          | \$17,082.02           | Disbarred  | Eyota               |
| Pucel, Cherylyn T.                             | 1          | \$3,500.00            | Suspended  | Minneapolis         |
| Pyles, David A.                                | 1          | \$16,450.00           | Suspended  | Bloomington         |
| Ramler, George C.                              | 1          | \$1,500.00            | Disbarred  | Chanhassen          |
| Randall, Michael H.                            | 2          | \$4,708.00            | Disbarred  | Brooklyn Center     |
| Rondestvedt, Steven J.                         | 22         | \$745,929.78          | Disbarred  | Minneapolis         |
| Rothstein, Morry N.                            | 3          | \$7,500.00            | Disbarred  | Minneapolis         |
| Ruttger III, Max J.                            | 1          | \$25,678.15           | Disbarred  | Brainerd            |
| Samborski, Steve C.                            | 23         | \$231,829.50          | Disbarred  | Minnetonka          |
| Sampson, Mark A.                               | 20         | \$404,742.04          | Disbarred  | Fridley             |
| Scott, John O.                                 | 2          | \$57,821.34           | None       | Perham              |
| Seiler, Victor P.                              | 1          | \$2,810.77            | None       | Minneapolis         |
| Sheffey, Ralph E.                              | 1          | \$5,000.00            | Suspended  | Rochester           |
| Simonet, William B.                            | 5          | \$50,411.56           | Disbarred  | Stillwater          |
| Simonson, Paul L.                              | 1          | \$2,360.23            | Disbarred  | Minneapolis         |
| Singer, Michael G.                             | 1          | \$63,000.00           | Suspended  | Minneapolis         |
| Skonnord, James T.                             | 5          | \$2,349.26            | Suspended  | St. Paul            |
| Smith, Glenn L.                                | 3          | \$139,391.05          | Disbarred  | Edina               |
| Soderberg, James W.                            | 1          | \$557.87              | Suspended  | Winona              |
| Soronow, Steven F.                             | 18         | \$28,180.00           | Suspended  | Minneapolis         |
| Soronow, Steven F.<br>and<br>Weber, Deborah A. | 1          | \$1,300               |            | Minneapolis         |
| Stockman, William L.                           | 1          | \$25,000.00           | Disbarred  | Duluth              |
| Strid, Dennis W.                               | 1          | \$1,197.00            | Suspended  | Minneapolis         |
| Sullivan, Kevin P.                             | 1          | \$200.00              | Suspended  | Elk River           |
| Swerine, Brian A.                              | 8          | \$23,645.40           | Disbarred  | Brainerd            |
| Thompson, Joel R.                              | 2          | \$6,160.00            | Suspended  | Detroit Lakes       |
| Ulstad, Bjorn                                  | 1          | \$2,500.00            | None       | St. Paul            |
| Vaught, Samuel M.                              | 1          | \$18,568.30           | Suspended  | St. Paul            |
| Vinitsky, Richard S.                           | 2          | \$20,000.00           | Disability | St. Paul            |
| Walker Jr., Samuel                             | 5          | \$19,945.00           | Disbarred  | St. Paul            |
| Weems, Mark T.                                 | 7          | \$70,901.64           | Disbarred  | Shoreview           |
| Wheat, Donald A.                               | 3          | \$37,841.70           | Disbarred  | Eagan               |
| Wyant, Bruce P.                                |            |                       | Disbarred  | <i>see Morgeson</i> |
| Wylde, John R.                                 | 1          | \$3,775.00            | None       | Minneapolis         |
| <b>Total Respondents<br/>110</b>               | <b>413</b> | <b>\$5,131,899.50</b> |            |                     |

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