

UNIVERSITY OF MINNESOTA

*Office of Vice President and
Chief Financial Officer
Treasurer*

Office of the President

*334B Morrill Hall
100 Church Street S.E.
Minneapolis, MN 55455*

*Office: 612-625-4517
Fax: 612-626-2278
Website: <http://www.budget.umn.edu/>
Email: ubudget@umn.edu*

07 - 0341

March 23, 2007

To: Representative Alice Hausman, Chair, House Capital Investment Finance Division
Representative Tom Rukavina, Chair, House Higher Education and Workforce
Development Policy and Finance Division
Representative Loren Solberg, Chair, House Ways and Means Committee
Senator Keith Langseth, Chair, Senate Capital Investment Committee
Senator Sandra Pappas, Chair, Senate Higher Education Budget and Policy Division
Senator Richard Cohen, Chair, Senate Finance Committee
Legislative Reference Library

From: Richard Pfitzenreuter *Fitz*
Vice President and CFO, University of Minnesota

Re: Contingency Account Report

Pursuant to Minnesota Law, 2003 Special Session, Chapter 20, Art. 1 Sec. 2, Subd. 8,
I am submitting to you a report on how the money in the contingency account has been allocated
or spent.

If you have any specific questions, please call Brian Swanson at 612-625-6665.

Enclosure

2002 and 2003 State Contingency Spending Report
(As of 12/31/06)

(A) Project Name	(B) Contingency Appropriation Amount	(C) Spent	(D) Encumbered	(E) (B-C-D) Allotted but Uncommitted	(F) Project Status	(G) Estimated Occupancy	(H) Notes
2003 State Contingencies							
JONES HALL RENOVATION(CONTINGENCY)	478,000	478,000	0	0	Pacloseout	12/01/05	Committed to award base contract
LIONS TRANSLATIONAL RES(CONTINGENCY)	1,600,000	0	374,824	1,225,176	Substantial Comp	07/06/05	374,824 Committed to award base contract Balance for Post-Occupancy / Conversion to HEAPR
VET DIAGNOSTIC LAB REN(CONTINGENCY)	31,980	31,980	0	0	Closed	08/01/04	31,980 Committed to award base contract 58,020 Balance converted to '03 HEAPR
NWROC RES LAB & OFFICE(CONTINGENCY)	58,000	58,000	0	0	Closed	08/30/04	Committed to award base contract
NCROC FOREST GENETICS(CONTINGENCY)	24,000	12,154	0	11,846	Substantial Comp	09/15/04	Required to complete base contract
SR0C ADMIN BLDG ADDTN(CONTINGENCY)	52,045	52,045	0	0	Closed	01/25/05	52,045 Committed to award base contract 12,955 Balance converted to '03 HEAPR
WCROC SWINE RES IMPR(CONTINGENCY)	4,000	4,000	0	0	Closed	12/30/04	Required to complete base contract
UMM SOC SCI BLDG (CONTINGENCY)	505,000	505,000	0	0	Substantial Comp	08/01/06	Committed to award base contract
Total - 2003 State Contingencies	2,753,025	1,141,179	374,824	1,237,023			
2002 State Contingencies							
NICHOLSON HALL RENOV (CONTINGENCY)	1,170,000	0	0	1,170,000	Pacloseout	12/01/05	Converted to '02 HEAPR
PLANT GROWTH - PH II (CONTINGENCY)	700,000	700,000	0	0	Closed	12/15/04	112,106 and 514,937 Committed to award base contr 72,957 Required to complete base contract
UMD NEW LAB SCI BUILDING(CONTINGENCY)	1,000,000	1,000,000	0	0	Pacloseout	01/01/05	Committed to award base contract
UMC BEDE HALL RENOV (CONTINGENCY)	435,000	225,741	209,259	0	Substantial Comp	07/08/05	Required to complete base contract
BLEGEN CLSSRM UPGR(CONTINGENCY)	170,000	170,000	0	0	Closed	08/15/03	Committed to award base contract
Total - 2002 State Contingencies	3,475,000	2,095,741	209,259	1,170,000			

1) Definitions of columns:

- B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds, they are detailed in this report by the University's allocation.
- C. Spent: The amount the University has paid to contractors from signed contracts.
- D. Encumbered: This includes three categories.
 - a. Project amount specifically under contract with a general contractor, architect, engineer, or other vendor.
- E. Allotted but Uncommitted: The balance of the appropriation, which has been allotted to a specific project, but has not been spent or encumbered.

2) Definitions of project phases:

- a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program, and establishing the functional and physical relationships of those components.
- b. Schematic Design: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building elements; exterior finishes; and design criteria for structural, mechanical, and electrical systems.
- c. Design Development: Developing the preliminary design into a detailed design that establishes final floor plans, building elevations, interior and exterior materials, room finishes, building systems, furnishings, and equipment.
- d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.
- e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.
- f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction documents.
- g. Substantial Completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.
- h. Pacloseout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.

2002 and 2003 State Contingency Spending Report
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