



U. S. Department  
of Transportation

400 Seventh Street S.W.  
Washington DC 20590

06 - 0213

# PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

## 2006 Natural Gas Certification Document

for

### Minnesota Department of Public Safety

**Please follow the directions listed below:**

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign the following pages:
  1. Page 3, Main Application
  2. Last Page, Attachment 9
3. Mail the entire document, including this cover page to the following:

**Ms. Gwendolyn M. Hill**  
**Pipeline and Hazardous Materials Safety Administration, PHP-50**  
**U.S. Department of Transportation**  
**400 Seventh Street, S.W. Room 2103**  
**Washington, D.C. 20590**

#### **FedSTAR Information**

Electronic Submission Date: 2/24/2006 9:57:56 AM



Pipeline and Hazardous Materials Safety Administration  
400 Seventh Street S.W.  
Washington DC 20590

## NATURAL GAS PIPELINE SAFETY PROGRAM CERTIFICATION FOR CALENDAR YEAR 2006

This certificate (including attachments) is submitted by the Minnesota Department of Public Safety (the state agency) to the Secretary of Transportation (the Secretary) under Section 60105 of Title 49, United States Code.

Pursuant to Section 60105(a) of this Title, the state agency hereby certifies to the Secretary that:

1. Except as set forth in Attachment 1, under the Constitution and laws of Minnesota it has regulatory jurisdiction over the safety standards and practices of all intrastate pipeline transportation within Minnesota as summarized on Attachment 1.
2. It has adopted, as of the date of this certification, each federal safety standard established under this Title that is applicable to the intrastate pipeline transportation under its jurisdiction as set forth in paragraph 1, or, with respect to each such federal safety standard established within 120 days before the date of the certification, is taking steps pursuant to state law to adopt such standard. (The adoption by a state agency of a safety standard that is additional to or more stringent than the applicable federal standard is compatible with the federal standards [see Section 60102(a)(1) of this Title] does not prohibit that state agency from certifying to the actions described in this paragraph.)
3. It is enforcing each standard referred to in paragraph 2.
4. It is encouraging and promoting programs designed to prevent damage to pipeline facilities as a consequence of demolition, excavation, tunneling, or construction activity.
5. It has authority to require each person who engages in the transportation of Natural Gas or who owns or operates pipeline facilities subject to its jurisdiction as set forth in paragraph 1, to establish and maintain records, to make reports, and to provide information, and that this authority is substantially the same as the authority provided under Section 60117 of this Title.
6. It has authority to require each person who engages in the transportation of Natural Gas or who owns or operates intrastate pipeline transportation facilities, subject to its jurisdiction as set forth in paragraph 1, to file with it for approval a plan for inspection and maintenance substantially as described under Section 60108(a) and (b) of this Title.
7. The laws of Minnesota provide for the enforcement of the safety standards referred to in paragraph 2 by injunctive and monetary sanctions substantially the same as those provided under Sections 60120 and 60122(a)(1) and (b)-(f) of this Title.

The state agency furthermore agrees to cooperate fully in a system of federal monitoring of the state program to assure the program is being carried out in compliance with this certification. The terms "intrastate pipeline transportation", "pipeline facilities", "transportation of Natural Gas", and "state", are used in certification as defined in this Title. This certification is subject to termination by the Secretary in accordance with Section 60105(f) of this Title if the Secretary Under Section 60105(f), the Secretary, on reasonable notice and after opportunity for hearing, may reject the certification or take such other action as deemed appropriate to achieve adequate enforcement including assertion of federal jurisdiction.

In witness whereof, the hand and seal of the Minnesota Department of Public Safety is hereby affixed on 2/24/06

Minnesota Department of Public Safety

Signature Chab R. Know

Title ADMINISTRATOR

Date 2/24/06

RECEIVED

AUG 15 2006

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## CERTIFICATION/AGREEMENT ATTACHMENTS (NATURAL GAS )

OMB Control No. 2137-0584

### INSTRUCTIONS:

These attachments request information either for the entire calendar year (CY 2005: January 1 through December 31, 2005) or as of (or on) December 31, 2005. Please report actual as opposed to estimated numbers on the attachments. Be careful to provide complete and accurate information since the OPS Regional Director (or State Liaison) will be validating the attachments during the state's next annual evaluation.

- **Attachment 1: State Jurisdiction and Agent Status Over Natural Gas Facilities.** Requires the state to indicate those pipeline operator types over which the state agency has jurisdiction under existing law. If the state does not have jurisdiction over an operator type, indicate why not in the column designated No, using the one alpha code (A through F) which best describes the reason. (Please note that F has been added for use where the state is currently not an interstate agent.) If the state agency has jurisdiction over an operator type, place an X in the column designated Yes and provide information on the number of operators, the number and percent of operators inspected, the number of inspection units, and the number and percent of inspection units inspected. If the jurisdiction over a type of operator is under a Section 60106 Agreement, indicate X/60106 in the column designated Yes. [If the same operator/inspection unit is visited more than once during the year, count only once under number of operators inspected/number of inspection units inspected on Attachment I. The multiple visits would, however, be reflected under total inspection person-days in Attachment 2.]
- **Attachment 2: Total State Field Inspection Activity.** Requires the state to indicate by operator type the number of inspection person-days spent during CY 2005 on inspections; investigating incidents; design, testing, and construction; and on-site operator training. High impact inspections can be reported under standard inspection activities. Attachment 2 should include drug and alcohol inspections. Counting In Office Inspection Time - An inspector may choose to review pipeline company procedure manuals or records away from the company facility in order to effectively use onsite inspection time. The amount of time spent reviewing procedures and records may be counted as part of the inspection process. It is important that an inspector only record time for activities that normally would be completed as part of an onsite inspection. For example, an inspector may attribute the three hours he or she spent reviewing a pipeline operator's procedure manual and records prior to an on site inspection towards the total inspection time. Each supervisor must carefully review the reported time to ensure the time attributed is consistent with the activity completed and is carefully delineated from normal office duties.
- **Attachment 3: Natural Gas Facility Subject to State Safety Jurisdiction.** Requires the business name and address of each person subject to the pipeline safety jurisdiction of the state agency as of December 31, 2005. Also indicate the operator type (e.g., intrastate transmission) consistent with the listing in Attachment I and include the number of inspection units in each operator's system.
- **Attachment 4: Natural Gas Pipeline Incidents.** Requires a list of incidents investigated by or reported to the state agency that involved personal injury requiring hospitalization, a fatality, property damage exceeding \$50,000, and other incidents otherwise considered significant by the state agency. Please also make an effort to clearly identify the cause of the incident using the one most appropriate alpha code footnoted in the attachment. We summarize this information for Congress by classifying the cause into one of five categories: (A) corrosion; (B) damage by outside forces; (C) construction defect or material failure; (D) other related cause (such as equipment operating malfunction, failure of mechanical joints, operator error, internal combustion) or undetermined cause; or (E) those incidents which were the result of suicide attempts. Please provide a summary or a report of incident investigations.

- **Attachment 5: State Compliance Actions.** Requires a summary of state pipeline inspection and compliance actions. [In the Number of Compliance Actions Taken column, keep in mind one compliance action can cover multiple probable violations. (In prior years, the term enforcement action was used; we are now using the term compliance action.)]
  
- **Attachment 6: State Record Maintenance and Reporting.** Requires a list of records and reports maintained and required by the state agency.
  
- **Attachment 7: State Employees Directly Involved in the Natural Gas Pipeline Safety Program.** Asks for a list by name and title of each inspector and supervisor directly involved in the Natural Gas pipeline safety program. Be sure to include the percentage of time each inspector and supervisor has been involved in the Natural Gas pipeline safety program during 2005. If an employee has not been in the Natural Gas pipeline safety program the full year of 2005, please note the number of months working on the program. Indicate a Qualification Category for each of the state's inspectors (see Attachment 7a). The categories are shown in descending order of education and experience. Please enter the number of the highest description applicable to each inspector. For each inspector and supervisor, indicate the month and year he/she last attended required training courses at the Transportation Safety Institute in Oklahoma City. Finally, provide in summary form the number of all staff (inspectors, supervisors, and clerical) working on the Natural Gas pipeline safety program and the person-years devoted to Natural Gas pipeline safety. Person-years should be reported in hundreds (e.g., 3.25).
  
- **Attachment 8: State Compliance with Federal Requirements.** Requires the state to indicate whether it is in compliance with applicable federal requirements. If a particular requirement is not applicable to the state (e.g., offshore inspections), indicate NA in the column designated Y/N/NA. If a regulation has been adopted, indicate the date adopted (e.g., 05/01/04) in the appropriate column. If the regulation is applicable but has not been adopted, indicate N in the Y/N/NA column and explain why not in the appropriate column (e.g., requires legislative action). [Indicate civil penalty levels in effect in the state as of December 31, 2005. Note that at the end of Attachment 8 we are requesting each state to indicate the frequency its legislature meets in general session. This information will be taken into account when determining if applicable federal regulations have been adopted within 24 months of the effective date or two general sessions of the state legislature.]
  
- **Attachment 9: Certification Regarding Drug-Free Workplace Requirements.** Requires each state to certify that it will maintain a drug-free workplace as a precondition to receiving a federal grant. The certification requires signature by an authorized official.

## DEFINITIONS:

- **Inspection Unit.** An inspection unit is all or part of an operator's pipeline facilities that are under the control of an administrative unit that provides sufficient communication and controls to ensure uniform design, construction, operation, and maintenance procedures for the facilities. (See Glossary of Terms in Guidelines for States Participating in the Pipeline Safety Program for application of the inspection unit concept to transmission and hazardous liquid pipeline systems, distribution systems, liquified natural gas systems, municipality, master meter system, regulated gathering pipeline systems, and propane-air systems/petroleum gas systems.)
- **Inspection Person-Day.** An inspection person-day is all or part of a day spent by a state agency representative including travel in an onsite examination or evaluation of an operator or his system to determine if the operator is in compliance with federal or state pipeline safety regulations, in an onsite investigation of a pipeline incident, or in job-site training of an operator. Time expended on such activities should be reported as one inspection person-day for each day devoted to safety issues, regardless of the number of operators visited during that day.
- **Probable Violation.** A probable violation is a non-compliance with any section or, where a section is divided into subsections (a), (b), (c), etc., any subsection of federal or state pipeline regulations. Each numbered section should be counted separately. Multiple non-compliances of a numbered section discovered on the same inspection should be counted as one probable violation with multiple pieces of evidence.
- **Compliance Action.** A compliance action is an action or series of sequential actions taken to enforce federal or state pipeline regulations. One compliance action can cover multiple probable violations. A compliance action may take the form of a letter warning of future penalties for continued violation, an administratively imposed monetary sanction or order directing compliance with the regulations, an order directing corrective action under hazardous conditions, a show-cause order, a criminal sanction, a court injunction, or a similar formal action.

## Attachment 1 - Stats on Operators

### STATE JURISDICTION AND AGENT STATUS OVER NATURAL GAS FACILITIES AS OF DECEMBER 31, 2005

| Operator Type                      | State Agency Jurisdiction /Agent Status |     | No. of Operators | Operators Inspected |              | No. of Inspection Units | Units Inspected |              |
|------------------------------------|---|-----|------------------|---------------------|--------------|-------------------------|-----------------|--------------|
|                                    | No <sup>2</sup>                         | Yes |                  | #                   | %            |                         | #               | %            |
| <b>Distribution</b>                |   |     |                  |                     |              |                         |                 |              |
| Private                            |   | X   | 10               | 10                  | 100.0%       | 42                      | 32              | 76.2%        |
| Municipal                          |   | X   | 30               | 30                  | 100.0%       | 33                      | 31              | 93.9%        |
| Master Meter                       |   | X   | 1                | 0                   | 0.0%         | 2                       | 0               | 0.0%         |
| LPG                                |   | X   | 13               | 12                  | 92.3%        | 23                      | 22              | 95.7%        |
| LNG                                |   | X   | 2                | 2                   | 100.0%       | 2                       | 2               | 100.0%       |
| Other                              |   |     | 0                | 0                   |              | 0                       | 0               |              |
| <b>Transmission</b>                |   |     |                  |                     |              |                         |                 |              |
| Intrastate                         |   | X   | 1                | 1                   | 100.0%       | 10                      | 4               | 40.0%        |
| Interstate                         |   | X   | 8                | 8                   | 100.0%       | 16                      | 16              | 100.0%       |
| Interstate LNG                     |   | X   | 1                | 1                   | 100.0%       | 1                       | 1               | 100.0%       |
| Direct Sales                       |   | X   | 7                | 6                   | 85.7%        | 7                       | 6               | 85.7%        |
| <b>Other</b>                       |   |     |                  |                     |              |                         |                 |              |
| Gathering Lines (Jurisdictional)   |   | X   | 0                | 0                   | N/A          | 0                       | 0               | N/A          |
| Offshore Facilities (State Waters) |   | X   | 0                | 0                   | N/A          | 0                       | 0               | N/A          |
| <b>Total</b>                       |   |     | <b>73</b>        | <b>70</b>           | <b>95.9%</b> | <b>136</b>              | <b>114</b>      | <b>83.8%</b> |

<sup>2</sup> No Codes: A - None in state; B - State does not want jurisdiction; C - State intends to seek jurisdiction; D - State has taken action to obtain jurisdiction; E - State expects to obtain jurisdiction by the end of next calendar year; F - State is currently not an interstate agent.

#### Attachment 1 Notes

- 1) One Master Meter system with a propane/air inspection unit was new late in CY 2005.
- 2) Big Sandy Lodge and Resort was the one new LP system operator discovered in late CY 2005.
- 3) The total number of LPG operators was reduced due to several systems being purchased by the previously contract for services operator.
- 4) Nine intrastate transmission units were created as sub-companies of private and municipal distribution system companies in late CY 2005 for tracking IMP plans.

**Attachment 1 Stats on Operators continued from the previous page...**

- 5) Three Intrastate transmission lines were reclassified as Direct Sales after the new transmission line definition. One new Direct sales operator was identified in CY 2005.**
- 6) One direct sales company was in the process of being sold in late 2005 and was sold early in 2006.**

**Attachment 2 - State Inspection Activity**

**TOTAL STATE FIELD INSPECTION ACTIVITY AS  
OF DECEMBER 31, 2005**

| Operator Type                      | Operation and Maintenance |              |              | Investigating Incident | Design, Testing, and Construction | On-Site Operator Training | Total Inspection Person- Days |
|------------------------------------|---------------------------|--------------|--------------|------------------------|-----------------------------------|---------------------------|-------------------------------|
|                                    | Standard                  | Specialized  | Followup     |                        |                                   |                           |                               |
| Distribution                       |                           |              |              |                        |                                   |                           |                               |
| Private                            | 104.13                    | 60.88        | 41.4         | 102.83                 | 219.14                            | 38.23                     | 566.61                        |
| Municipal                          | 63.82                     | 3.65         | 5.89         | 1                      | 16.46                             | 19.292                    | 110.112                       |
| Master Meter                       | 0                         | 0            | 0            | 0                      | 0                                 | 1.109                     | 1.109                         |
| LPG                                | 39.77                     | 0            | 2.44         | 0                      | 3.55                              | 12.749                    | 58.509                        |
| LNG                                | 6.02                      | 0            | 0            | 0                      | 2.5                               | 1.109                     | 9.629                         |
| Other                              | 0                         | 0            | 0            | 0                      | 0                                 | 0                         | 0                             |
| Transmission                       |                           |              |              |                        |                                   |                           |                               |
| Intrastate                         | 5.5                       | 0            | 0            | 0                      | 2.67                              | 7.543                     | 15.713                        |
| Interstate                         | 45.27                     | 1            | 0            | 1.21                   | 17.93                             | 11.086                    | 76.496                        |
| Interstate LNG                     | 0                         | 0            | 0            | 1                      | 0                                 | 0.554                     | 1.554                         |
| Direct Sales                       | 6.85                      | 0            | 0            | 0                      | 2                                 | 3.88                      | 12.73                         |
| Other                              |                           |              |              |                        |                                   |                           |                               |
| Gathering Lines (Jurisdictional)   | 0                         | 0            | 0            | 0                      | 0                                 | 0                         | 0                             |
| Offshore Facilities (State Waters) | 0                         | 0            | 0            | 0                      | 0                                 | 0                         | 0                             |
| <b>Total</b>                       | <b>271.36</b>             | <b>65.53</b> | <b>49.73</b> | <b>106.04</b>          | <b>264.25</b>                     | <b>95.552</b>             | <b>852.462</b>                |

**Attachment 2 Notes**

**Standard = 410, 415, 420 time sheet work code inspection types.**

**Specialized = 430, 431, 464 time sheet work code inspection types.**

**Follow-up = 440 time sheet work code inspection types.**

**Investigating Incidents = 460 time sheet work code inspection types.**

**Design, Testing and Construction = 450 time sheet work code inspection types.**

**On-site Operator Training = 470 time sheet work code inspection types. The operator training inspection person days that were not specifically charged to an operator or operator group type were proportionally allocated based upon the ratio of the number of inspection units in each group type to the total number of inspection units.**

### Attachment 3 - List of Operators

#### NATURAL GAS FACILITIES SUBJECT TO STATE SAFETY JURISDICTION AS OF DECEMBER 31, 2005

| Operator<br><br>Business Name<br>Address  | Distribution<br>(Operator type & Inspection Units) |           |                 |     |     |       | Transmission<br>(Operator type & Inspection Units) |            |                   |                 | Other (Operator type<br>& Inspection Units) |   |
|---|--|-----------|-----------------|-----|-----|-------|--|------------|-------------------|-----------------|---|---|
|   | Private  | Municipal | Master<br>Meter | LPG | LNG | Other | Intrastate   | Interstate | Interstate<br>LNG | Direct<br>Sales | Gathering<br>Lines<br>(Juris-<br>dictional) | Offshore<br>Facilities<br>(State<br>Waters) |
| <b>Alliance Pipeline Ltd.</b><br>6385 Old Shady Oak; Suite 150<br>Eden Prairie, MN. 55334                             | 0  | 0         | 0               | 0   | 0   | 0     | 0  | 2          | 0                 | 0               | 0   | 0   |
| <b>Alliant Energy</b><br>100 North Broadway;<br>Albert Lea, MN. 56007   | 1  | 0         | 0               | 0   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |
| <b>Aquila</b><br>2665 145 Street West; PO Box 455<br>Rosemount, MN. 55068-0455  | 7  | 0         | 0               | 0   | 0   | 0     | 1  | 0          | 0                 | 0               | 0   | 0   |
| <b>Archer Daniels Midland Corn Pro</b><br>901 North Highway 59;<br>Marshall, MN. 56258-2744                           | 0  | 0         | 0               | 0   | 0   | 0     | 0  | 0          | 0                 | 1               | 0   | 0   |
| <b>Argyle, City of</b><br>703 Pacific Avenue; PO Box 288<br>Argyle, MN. 56713   | 0  | 1         | 0               | 0   | 0   | 0     | 1  | 0          | 0                 | 0               | 0   | 0   |
| <b>Austin Public Utilities</b><br>400 4 Street NE;<br>Austin, MN. 55912   | 0  | 2         | 0               | 0   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |
| <b>Bagley Utilities Commission</b><br>18 Main Avenue South; Box M<br>Bagley, MN. 56621                                | 0  | 1         | 0               | 0   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |
| <b>Ballards Resort Inc.</b><br>RR 1, Box 176, Hwy 172;<br>Baudette, MN. 56623   | 0  | 0         | 0               | 1   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |
| <b>Big Sandy Lodge and Resort</b><br>20534 487th St.;<br>McGregor, MN. 55760  | 0  | 0         | 0               | 1   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |
| <b>Camp Ripley Master Meter Distribution</b><br>Facility Management Office; 150000 HWY 115<br>Little Falls, MN. 56345 | 0  | 0         | 2               | 0   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |
| <b>Cast Away Club</b>   | 0  | 0         | 0               | 1   | 0   | 0     | 0  | 0          | 0                 | 0               | 0   | 0   |

Attachment 3 List of Operators continued from the previous page...

|  |    |   |   |   |   |   |   |   |   |   |   |   |
|--|----|---|---|---|---|---|---|---|---|---|---|---|
| Rt. 2, Jct Richwood and Tower; PO Box 558<br>Detroit Lakes, MN. 56501  |    |   |   |   |   |   |   |   |   |   |   |   |
| <b>Cenex Harvest States, Inc. - CHS</b><br>1830 130th Street;<br>Fairmont, MN. 56031                               | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| <b>Centennial Utilities</b><br>200 Civic Height Circle;<br>Circle Pines, MN. 55014                                 | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>CenterPoint Energy</b><br>800 LaSalle Avenue South, Floor; PO Box 59038<br>Minneapolis, MN. 55459-0038          | 15 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Centra Pipeline Minnesota</b><br>2510 Oulette Avenue; Suite L02<br>Windsor, Ontario, Canada, MN. N8X 1L4        | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| <b>Clarissa.Eagle Bend Utl. Com.</b><br>108 Main Street West; PO Box 215<br>Eagle Bend, MN. 56446                  | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Clearbrook, City of</b><br>Box 62;<br>Clearbrook, MN. 56634   | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Cohasset Municipal NG System</b><br>305 NW 1 Avenue;<br>Cohasset, MN. 55721                                     | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Como Oil and Propane</b><br>PO Box 16108;<br>Duluth, MN. 55816-0108   | 0  | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Duluth Dept of Pub Works and Utilities</b><br>411 West 1st Street; Room 211 City Hall<br>Duluth, MN. 55802-9001 | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| <b>Ethanol2000 LLP</b><br>40212 510th Avenue;<br>Bingham Lake, MN. 56118   | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| <b>Fairfax Municipal Gas Utilities</b><br>112 Se 1 Street;<br>Fairfax, MN. 55332                                   | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Ferrellgas Inc.</b><br>33 Holler Hill;<br>Winona, MN. 55987   | 0  | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Fosston Utilities Commission</b><br>220 East 1 Street;<br>Fosston, MN. 56542                                    | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Gas Service Company</b><br>6916 State Highway 371;  | 0  | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Attachment 3 List of Operators continued from the previous page...

|   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Walker, MN. 56484   |   |   |   |   |   |   |   |   |   |   |   |   |
| <b>Goodhue Public Utility Commission</b><br>405 N. Broadway; PO Box 126<br>Goodhue, MN. 55027                                   | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Grand Superior Lodge</b><br>600 East Superior Street; Suite 203<br>Duluth, MN. 55802   | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Great Lakes Gas Transmission</b><br>5250 Corporate Drive;<br>Troy, MI. 48098-2644  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| <b>Great Plains Natural Gas</b><br>105 West Lincoln Avenue; PO Box 176<br>Fergus Falls, MN. 56537                               | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| <b>Great River Energy</b><br>17845 E. Hwy 10;<br>Elk River, MN. 55330   | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| <b>Greater Minnesota Gas, Inc.</b><br>315 1/2 Minnesota Avenue; Suite 201<br>St. Peter, MN. 56082                               | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Hallock, City of</b><br>163 3 Street South; PO Box 336<br>Hallock, MN. 56728   | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Hawley Public Utilities Commission</b><br>PO Box 69;<br>Hawley, MN. 56549  | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Henning, City of</b><br>PO Box 55;<br>Henning, MN. 56551   | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Hibbing Public Utilities</b><br>1832 6 Avenue East; PO Box 249<br>Hibbing, MN. 55746   | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>High Plains Cooperative</b><br>240 West Broadway; PO Bxo 636<br>Plainview, MN. 55964   | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Hutchinson Utilities Commission</b><br>225 Michigan Street SE;<br>Hutchinson, MN. 55350                                      | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Inergy Propane / Caledonia Tru-Gas / Midwest Gas Company</b><br>Caledonia St. and Hwy 44; PO Box 327<br>Caledonia, MN. 55921 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Lake Park Public Utilities</b><br>401 Lake Street; PO Box 239  | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Attachment 3 List of Operators continued from the previous page...

|  |    |   |   |   |   |   |   |   |   |   |   |   |
|--|----|---|---|---|---|---|---|---|---|---|---|---|
| Lake Park, MN. 56554   |    |   |   |   |   |   |   |   |   |   |   |   |
| <b>Lakes Gas Company</b><br>655 South Lake Street; PO Box 400<br>Forest Lake, MN. 55025                        | 0  | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Magellan Pipeline Co., LP</b><br>2728 Patton Road;<br>Roseville, MN.  | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| <b>Minnesota Intrastate Transmission</b><br>700 West Linden Avenue; PO Box 1165<br>Minneapolis, MN. 55440-1165 | 0  | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Minnesota Soybean Processors</b><br>121 Zeh Avenue;<br>Brewster, MN. 56119                                  | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| <b>Morgan, City of</b><br>PO Box 27;<br>Morgan, MN. 56266  | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>New Ulm Public Utilities</b><br>310 First North Street;<br>New Ulm, MN. 56073                               | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>New York Mills Municipal Gas</b><br>324 West Gilman;<br>New York Mills, MN. 56567                           | 0  | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Northern Natural Gas Co.</b><br>1111 South 103rd Street;<br>Omaha, NE. 68103-0330                           | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 1 | 0 | 0 | 0 |
| <b>Northern Plains Natural Gas Co.</b><br>200 Highway 14 Bypass; PO Box 147<br>Brookings, SD. 57006            | 0  | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| <b>Northern States Power</b><br>825 Rice Street;<br>St. Paul, MN. 55117  | 10 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Northwest Gas</b><br>1608 4 Street NW;<br>Grand Rapids, MN. 55744   | 0  | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Northwest Gas Cass Lake</b><br>1608 4 Street NW;<br>Grand Rapids, MN. 55744                                 | 1  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Northwest Natural Gas</b><br>314 Main Street NE; PO Box 721<br>Mapleton, MN. 56065-0721                     | 1  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Owatonna Public Utilities</b><br>208 South Walnut Street; PO Box 800<br>Owatonna, MN. 55060                 | 0  | 2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |

Attachment 3 List of Operators continued from the previous page...

|  |   |   |   |   |   |   |   |   |   |   |   |   |
|--|---|---|---|---|---|---|---|---|---|---|---|---|
| <b>Perham Municipal Gas System</b><br>125 Second Avenue NE; PO Box 130<br>Perham, MN. 56573-0130 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Plymouth Christian Youth</b><br>12477 Gunflint Trail;<br>Grand Marais, MN. 55604              | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Racine Community Utilities</b><br>104 East Main; PO Box 37<br>Racine, MN. 55967               | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Randall Municipal Gas Utilities</b><br>PO Box 206;<br>Randall, MN. 56475                      | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Round Lake, City of</b><br>98 Main Street; PO Box 72<br>Round Lake, MN. 56167                 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Sheehan Gas</b><br>106 Main Street; PO Box 306<br>Bird Island, MN. 55310                      | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Stephen, City of</b><br>PO Box 630;<br>Stephen, MN. 56757                                     | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Thistledeew Camp (Dept. of Corrections)</b><br>62741 County Rd 551;<br>Togo, MN. 55723        | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Two Harbors Mun. Gas System</b><br>522 First Avenue;<br>Two Harbors, MN. 55616-1504           | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Tyler, City of</b><br>230 North Tyler Street;<br>Tyler, MN. 56178                             | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Viking Gas Transmission Co.</b><br>3140 Neil Armstrong Blvd.;<br>Eagan, MN. 55121             | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| <b>Virginia Public Utilities</b><br>618 Second Street South; PO Box 1048<br>Virginia, MN. 55792  | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <b>Warren, City of</b><br>120 East Bridge Avenue;<br>Warren, MN. 56762-1197                      | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| <b>Westbrook Municipal Utilities</b><br>556 1 Avenue; PO Box 308<br>Westbrook, MN. 56183         | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

**Attachment 3 Notes**

- 1) One Master Meter system with a propane/air inspection unit was new late in CY 2005.
- 2) Big Sandy Lodge and Resort was the one new LP system operator discovered in late CY 2005.
- 3) The total number of LPG operators was reduced due to several systems being purchased by the previously contract for services operator.
- 4) Nine intrastate transmission units were created as sub-companies of private and municipal distribution system companies in late CY 2005 for tracking IMP plans.
- 5) Three Intrastate transmission lines were reclassified as Direct Sales after the new transmission line definition. One new Direct sales operator was identified in CY 2005.
- 6) One direct sales company was in the process of being sold in late 2005 and was sold early in 2006.

## Attachment 4 - Accidents

### SIGNIFICANT<sup>5</sup> NATURAL GAS INCIDENTS JANUARY 1, THROUGH DECEMBER 31, 2005

| Date of Incident  | Location - City/County/etc.               | Injuries # | Fatalities # | Property Damage | Cause Code <sup>2</sup> |
|---|---|------------|--------------|-----------------|-------------------------|
| 6/8/2005  | Cloquet Minnesota                         | 0          | 0            | 76582           | F                       |
| Name of Operator: Aquila  |   |            |              |                 |                         |
| <u>Cause Reported by Operator (Describe)<sup>3</sup>:</u>   |   |            |              |                 |                         |
| Lightning struck a tree adjacent to Aquila's ten inch steel pipeline across the Cloquet Country Club golf course. The lightning dented the steel line and caused a crack in a nearby girth weld. The gas ignited immediately and was allowed to burn until a by-pass was constructed (MNOPS Case # 5648). |   |            |              |                 |                         |
| 7/19/2005   | State Hwy 197 and Irvin Ave., Bemidji, MN | 0          | 0            | 35399           | G                       |
| Name of Operator: Aquila  |   |            |              |                 |                         |
| <u>Cause Reported by Operator (Describe)<sup>3</sup>:</u>   |   |            |              |                 |                         |
| An excavator hit a 6-inch steel gas main operating at 15 PSIG. 5 Businesses were evacuated and shut down. The stoppage and by pass of the damage took almost 12 hours to complete. (MNOPS Case #5755)   |   |            |              |                 |                         |
| 9/29/2005   | Dodge Center, MN (TBS)                    | 0          | 0            | 56052           | H                       |
| Name of Operator: Northern Natural Gas Company  |   |            |              |                 |                         |
| <u>Cause Reported by Operator (Describe)<sup>3</sup>:</u>   |   |            |              |                 |                         |
| Gas service to Dodge Center was lost when Roots 5M turbine meter over spun and seized. A local company may have been testing equipment and pulled an abnormally large load. Approximately 1100 Aquila gas customer were without gas (MNOPS Case # 5992).  |   |            |              |                 |                         |
| 10/4/2005   | 7938 71st Street South, Cottage Grove, MN | 2          | 0            | 250000          | C                       |
| Name of Operator: Northern State Power  |   |            |              |                 |                         |
| <u>Cause Reported by Operator (Describe)<sup>3</sup>:</u>   |   |            |              |                 |                         |
| House exploded and was destroyed during a severe thunderstorm w/heavy rain. The explosion was initially thought to be associated with lightning striking the home. It was later determined to be the result of a gas main leak in a 2-inch Century plastic line. (MNOPS Case # 6008)                      |   |            |              |                 |                         |
| 12/19/2005  | Zumbrota, Wanamingo, and Pine Island      | 0          | 0            | 0               | H                       |
| Name of Operator: Northern Natural Gas  |   |            |              |                 |                         |
| <u>Cause Reported by Operator (Describe)<sup>3</sup>:</u>   |   |            |              |                 |                         |
| A regulator serving the Zumbrota take off froze up partially due to the failure of a catalytic heater. Low pressure problems affected Aquila distribution systems in Zumbrota, Pine Island and Wanamingo. Total outage of approximately 250 customers. (MNOPS Case #6177)                                 |   |            |              |                 |                         |
| 4/6/2006  | Jackson Minnesota                         | 0          | 0            | 80000           | I                       |
| Name of Operator: Northern Natural Gas  |   |            |              |                 |                         |
| <u>Cause Reported by Operator (Describe)<sup>3</sup>:</u>   |   |            |              |                 |                         |
| NNG personnel inadvertently left a valve closed following construction activity. The distribution company (Aquila) lost approximately 1500 customer as a result. (MNOPS Case #5529)   |   |            |              |                 |                         |

**Attachment 4 Accidents continued from the previous page...**

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<sup>2</sup> Cause Codes: A - Corrosion; B - Damage by outside forces (operator or other); C - Construction defect or material failure; D - Other and/or undetermined; E - Suicide.

<sup>3</sup> Please attach a summary or report of the state agency's investigation of each of the above incidents.

<sup>4</sup> Interstate agents should use the 191.3 Incident definition for listing incidents investigated on interstate facilities.

<sup>5</sup> Significant: Investigated by or reported to the state agency, involving personal injury requiring hospitalization, fatality, property damage exceeding \$50,000 and other incidents otherwise considered significant which involved jurisdictional facilities.

**Attachment 4 Notes**

As of 2/24/06 the office had not received a written incident report for the 12/19/05 incident involving Northern Natural Gas.

## Attachment 5 - Stats on Compliance Actions

### STATE COMPLIANCE ACTIONS -- CALENDAR YEAR (CY) 2005

| Probable Violation Categories  | Intrastate | Interstate | Interstate LNG |
|--|------------|------------|----------------|
| Number Carried over from previous CY (including carryover and long term) | 203        | 13         | 2              |
| Number Found During CY   | 332        | 7          | 0              |
| Number submitted for DOT action [60106 Agreement agent only]             | 0          | 7          | 0              |
| Number corrected during CY (including carry over from previous year)     | 397        | 12         | 0              |
| Number to be corrected at end of CY (including carry over and long-term) | 138        | 8          | 2              |
| <br>   |            |            |                |
| Number of Compliance Actions Taken <sup>2</sup><br>(see definition)      | 124        |            |                |
| <br>   |            |            |                |
| Civil Penalties  |            |            |                |
| Number assessed during CY  | 27         |            |                |
| Dollars assessed during CY   | 35000      |            |                |
| Number collected during CY   | 23         |            |                |
| Dollars collected during CY  | 25500      |            |                |

<sup>2</sup> Do not double count for a related series of actions.

#### Attachment 5 Notes

- 1) Carried over Probable Violations (PV) have been reconciled from the 2005 certification for any differences due to prior year PV been rescinded or not finalized as PV in the MNOPS OPS database for the certification annual reports for both 2004 and 2005.
- 2) Intrastate Carried over PV of 203 = 183 code and 20 MS.
- 3) Intrastate PV found during CY of 332 = 259 code and 73 MS.
- 4) Intrastate PV corrected during CY of 397 = 321 code and 76 MS.
- 5) Intrastate PV to be corrected at end of CY of 138 = 121 code and 17 MS.
- 6) The number of compliance actions of 124 = 97 CFR code and 27 MS216D (One-Call).
- 7) The number of assessed CP of 27 = 8 CFR Code and 19 MS.
- 8) The dollars assessed of \$35,000 = \$15,000 CFR Code and \$20,000 MS.
- 9) The number of CP collected of 23 = 5 CFR Code and 18 MS.
- 10) The dollars collected of \$25,500 = \$11,000 CFR Code and \$14,500 MS.

## Attachment 6 - List of Records Kept

### NATURAL GAS STATE RECORD MAINTENANCE AND REPORTING DURING CY 2005

#### Records Maintained by the State Agency

1. Operator file. Contains incident reports from telephonic notices, inspection, and investigation results, and general correspondence.
2. Operation and Maintenance Plan from each Operator.
3. Computer Database. Identifies vital information on each of the operators under the jurisdiction of this office. Sorts the type and number of enforcement actions. Organizes and reports information received from pipeline safety reports and investigations for trend analysis and inspection plan projections.

#### Reports Required from Operators

Transmission and gathering systems: Incident form 7100.2 (191.3, 191.5, 191.7, and 191.15)  
Transmission and gathering systems: Annual Report Form 7100.2-1 (191.7, 191.17)  
Safety-Related condition reports and filings (191.7, 191.23, 191.25)  
Telephonic Reporting to 24-hour MNDO, (191.3 and 191.5)  
Incident Report Form - Form 7100.1 (191.3, 191.5, 191.9).  
Annual Report Form - Form 7100.1-1 (191.7 and 191.11). Form 7100.1-1 or 7100.2-1 (191.7 and 191.13)

## Attachment 7 - Staffing and TSI Training

### STATE EMPLOYEES DIRECTLY INVOLVED IN THE NATURAL GAS PIPELINE SAFETY PROGRAM DURING CY 2005

| Name/Title  | % Time | # Months | Qual. Cat. | MM/YYYY Last Attended TSI Required Course |         |         |         |         |         |     |         |         |     |         |  |
|---|--------|----------|------------|---|---------|---------|---------|---------|---------|-----|---------|---------|-----|---------|--|
|   |        |          |            | 250                                       | 253     | 254     | 255     | 256     | 257     | 284 | 291     | 292     | 293 | 299     |  |
| <b>Supervisor</b>   |        |          |            |   |         |         |         |         |         |     |         |         |     |         |  |
| <b>Kenow, Charles</b><br>Administrator                    | 80     | 12       | III        | 12/1995                                   |         | 03/2001 | 04/2003 | 02/1998 | 12/1997 |     |         |         |     |         |  |
| <b>McGrath, Michael</b><br>Chief Engineer                 | 24     | 12       | II         | 12/1995                                   | 01/1991 | 06/2001 | 04/1997 | 03/2000 | 06/2000 |     |         |         |     | 01/2004 |  |
| <b>Rosendahl, Jerry</b><br>Director                       | 80     | 12       |            |   |         |         |         |         |         |     |         |         |     |         |  |
| <b>Sullivan, Corrine</b><br>Office Supervisor             | 80     | 12       |            |   |         |         |         |         |         |     |         |         |     |         |  |
| <b>Inspector</b>  |        |          |            |   |         |         |         |         |         |     |         |         |     |         |  |
| <b>Ardner, Brad</b><br>Engineering Specialist             | 74     | 12       | I          | 12/1997                                   | 01/2000 | 02/1998 | 04/1998 | 08/1997 | 07/1998 |     | 08/2005 | 12/2003 |     | 12/2003 |  |
| <b>Burmeister, Ken</b><br>Engineering Specialist          | 100    | 12       | II         | 01/2000                                   | 01/1993 | 11/2004 | 04/1993 | 04/2000 | 09/2002 |     |         |         |     | 11/2003 |  |
| <b>Donovan, Patrick</b><br>Engineering Specialist         | 100    | 12       | II         | 08/2003                                   | 01/1997 | 08/1997 | 04/1997 | 06/1996 | 06/1997 |     |         | 06/2005 |     | 12/2003 |  |
| <b>Haugrose, Boyd</b><br>Engineering Specialist           | 83     | 12       | II         | 01/2002                                   | 01/1994 | 02/2003 | 09/1994 | 05/2002 | 05/2004 |     | 08/2005 |         |     | 01/2004 |  |
| <b>Kallberg, Lisa</b><br>Engineering Specialist           | 100    | 12       | II         | 12/1997                                   | 01/1998 | 02/1997 | 04/1998 | 08/1997 | 12/1997 |     |         |         |     | 12/2003 |  |
| <b>Lemmerman, Darren</b><br>Senior Engineering Specialist | 95     | 12       | I          | 01/2000                                   | 01/1993 | 03/2002 | 04/1992 | 04/2000 | 09/2002 |     |         | 12/2002 |     | 11/2003 |  |
| <b>Livshutz, Vica</b><br>Engineering                      | 92     | 12       | I          | 08/2001                                   | 01/1991 | 06/2001 | 02/1991 | 05/2003 | 05/2004 |     |         |         |     | 12/2003 |  |

Attachment 7 Staff Training continued from the previous page...

| Specialist  |    |    |     |         |         |         |         |         |         |  |         |         |         |         |         |
|---|----|----|-----|---------|---------|---------|---------|---------|---------|--|---------|---------|---------|---------|---------|
| <b>McGrath, Michael</b><br>Senior Engineer                | 50 | 12 | II  | 12/1995 | 01/1991 | 06/2001 | 04/1997 | 03/2000 | 06/2000 |  |         |         |         |         | 01/2004 |
| <b>Munthe, Daniel</b><br>Senior Engineering Specialist    | 60 | 12 | III | 01/2001 |         | 03/2002 | 04/2003 | 03/2002 | 07/2001 |  |         |         | 03/2003 | 12/2003 |         |
| <b>Pierzina, Brian</b><br>Senior Engineer                 | 88 | 12 | I   | 08/2001 | 01/1999 | 09/1999 | 02/1991 | 03/2002 | 06/2000 |  | 04/2004 | 06/2003 |         | 12/2003 |         |
| <b>Sweney, Steve</b><br>Senior Engineering Specialist     | 77 | 12 | I   | 01/2000 | 01/2001 | 03/2002 | 03/1995 | 04/2000 | 05/2004 |  |         | 12/2001 |         | 12/2003 |         |
| <b>Wiest, Ron</b><br>Principal Engineer                   | 57 | 12 | I   | 08/2003 | 01/2001 | 02/1997 | 02/1989 | 04/2000 | 07/1998 |  |         |         |         | 12/2003 |         |
| Clerical  |    |    |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Bowman, Heather</b><br>Clerical Support                | 80 | 3  |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Dumroese, Lynn</b><br>Clerical Support                 | 80 | 1  |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Hernandez-Pierce, Kristine</b><br>Management Analyst 4 | 42 | 5  |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Mangan, Sean</b><br>Research Analyst                   | 80 | 12 |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Schneider, Judith</b><br>Clerical Support              | 80 | 4  |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Sundt, Kitty</b><br>Clerical Support                   | 80 | 12 |     |         |         |         |         |         |         |  |         |         |         |         |         |
| <b>Voyer, Andrew</b><br>Clerical Support                  | 80 | 12 |     |         |         |         |         |         |         |  |         |         |         |         |         |

| Name/Title                                | % Time | # Months | Qual. Cat. | MM/YYYY Last Attended TSI Elective Course |         |         |         |     |     |         |     |     |     |  |  |
|---|--------|----------|------------|---|---------|---------|---------|-----|-----|---------|-----|-----|-----|--|--|
|   |        |          |            | 251                                       | 252     | 258     | 275     | 288 | 294 | 295     | 296 | 397 | 305 |  |  |
| Supervisor                                |        |          |            |   |         |         |         |     |     |         |     |     |     |  |  |
| <b>Kenow, Charles</b><br>Administrator    | 80     | 12       | III        | 02/1999                                   |         |         | 09/1996 |     |     |         |     |     |     |  |  |
| <b>McGrath, Michael</b><br>Chief Engineer | 24     | 12       | II         | 05/1990                                   | 05/1990 | 09/1995 |         |     |     | 07/2004 |     |     |     |  |  |

Attachment 7 Staff Training continued from the previous page...

|   |     |    |     |         |         |         |  |         |         |         |         |  |  |  |  |  |
|---|-----|----|-----|---------|---------|---------|--|---------|---------|---------|---------|--|--|--|--|--|
| <b>Rosendahl, Jerry</b><br>Director                       | 80  | 12 |     |         |         |         |  |         |         |         |         |  |  |  |  |  |
| <b>Sullivan, Corrine</b><br>Office Supervisor             | 80  | 12 |     |         |         |         |  |         |         |         |         |  |  |  |  |  |
| <b>Inspector</b>  |     |    |     |         |         |         |  |         |         |         |         |  |  |  |  |  |
| <b>Ardner, Brad</b><br>Engineering Specialist             | 74  | 12 | I   | 02/1999 | 04/1999 | 05/1997 |  |         | 08/2002 |         |         |  |  |  |  |  |
| <b>Burmeister, Ken</b><br>Engineering Specialist          | 100 | 12 | II  | 03/1993 | 05/1993 | 02/1994 |  |         |         |         |         |  |  |  |  |  |
| <b>Donovan, Patrick</b><br>Engineering Specialist         | 100 | 12 | II  | 02/1997 | 03/1997 | 05/1997 |  |         | 10/2002 |         |         |  |  |  |  |  |
| <b>Haugrose, Boyd</b><br>Engineering Specialist           | 83  | 12 | II  | 02/1994 | 05/1994 | 09/1995 |  | 11/2003 | 01/2003 | 07/2002 | 07/2002 |  |  |  |  |  |
| <b>Kallberg, Lisa</b><br>Engineering Specialist           | 100 | 12 | II  | 02/1998 | 03/1998 | 05/1997 |  |         | 05/2005 |         | 01/2004 |  |  |  |  |  |
| <b>Lemmerman, Darren</b><br>Senior Engineering Specialist | 95  | 12 | I   | 03/1993 | 05/1993 | 07/1994 |  | 12/2004 | 11/2002 | 07/2002 | 07/2002 |  |  |  |  |  |
| <b>Livshutz, Vica</b><br>Engineering Specialist           | 92  | 12 | I   | 02/1998 | 05/1991 | 06/1998 |  |         | 05/2005 |         |         |  |  |  |  |  |
| <b>McGrath, Michael</b><br>Senior Engineer                | 50  | 12 | II  | 05/1990 | 05/1990 | 09/1995 |  |         | 07/2004 |         |         |  |  |  |  |  |
| <b>Munthe, Daniel</b><br>Senior Engineering Specialist    | 60  | 12 | III |         |         | 09/2003 |  |         |         |         |         |  |  |  |  |  |
| <b>Pierzina, Brian</b><br>Senior Engineer                 | 88  | 12 | I   | 02/1998 | 05/1991 | 09/2000 |  | 10/2005 | 10/2002 | 07/2002 | 07/2002 |  |  |  |  |  |
| <b>Sweney, Steve</b><br>Senior Engineering Specialist     | 77  | 12 | I   | 02/1994 | 09/1994 | 09/1999 |  | 12/2004 | 10/2002 | 07/2002 | 07/2002 |  |  |  |  |  |
| <b>Wiest, Ron</b><br>Principal Engineer                   | 57  | 12 | I   | 05/1989 | 04/1995 | 07/1994 |  |         | 11/2002 |         |         |  |  |  |  |  |

Attachment 7 Staff Training continued from the previous page...

| Clerical  |    |    |  |  |  |  |  |  |  |  |  |  |  |  |
|---|----|----|--|--|--|--|--|--|--|--|--|--|--|--|
| Bowman, Heather<br>Clerical Support                       | 80 | 3  |  |  |  |  |  |  |  |  |  |  |  |  |
| Dumroese, Lynn<br>Clerical Support                        | 80 | 1  |  |  |  |  |  |  |  |  |  |  |  |  |
| Hernandez-Pierce<br>, Kristine<br>Management<br>Analyst 4 | 42 | 5  |  |  |  |  |  |  |  |  |  |  |  |  |
| Mangan, Sean<br>Research Analyst                          | 80 | 12 |  |  |  |  |  |  |  |  |  |  |  |  |
| Schneider, Judith<br>Clerical Support                     | 80 | 4  |  |  |  |  |  |  |  |  |  |  |  |  |
| Sundt, Kitty<br>Clerical Support                          | 80 | 12 |  |  |  |  |  |  |  |  |  |  |  |  |
| Voyer, Andrew<br>Clerical Support                         | 80 | 12 |  |  |  |  |  |  |  |  |  |  |  |  |

Summary

| <u>Employee Type</u> | <u>No. of Staff</u> | <u>Person-Years</u> |
|----------------------|---------------------|---------------------|
| Supervisor           | 4                   | 2.64                |
| Inspector            | 12                  | 9.76                |
| Clerical             | 7                   | 3.11                |
| <b>Total</b>         | <b>23</b>           | <b>15.51</b>        |

Attachment 7 Notes

- 1) Course PL00307 CBT course is not on the list to enter Ardner, Burmeister, Donovan, Haugrose and Wiest.
- 2) Course PL00297 CBT course is not on the list to enter Pierzina and Wiest.
- 2) Elisabeth Kallberg completed course PL00304 on 01/2004, which is not on the list to enter.
- 3) Boyd Haugrose completed course PL00294 for HL IMP in Denver on 01/2003 according to our records and have solicited TSI and Bruce Hansen to rectify their transcript.
- 4) 40 % of Dan Munthe's salary is from the One-Call Grant or other sources not involving the base federal pipeline safety grants.
- 5) There are support staff for various services that are prorated to offices within the Department of Public Safety and included with indirect costs. They were not entered individually, but will be summarized in this note: Department Administrative Support Services - Shannon Brewin= 3% of 12 months salary, Office of Communications, Kristine Chapin= 6% of 12 months salary, General Repair Worker-Brian Halverson= 6% of 12 months salary.
- 6) 48% of Kristine Hernandez-Pierce's salary for a 5 month period was from the One-Call Grant or other non-Pipeline Safety Grant funding.

## Attachment 8 - Compliance with Federal Regulations

### STATE COMPLIANCE WITH FEDERAL REQUIREMENTS AS OF DECEMBER 31, 2005

| No.      | Effective Date | Impact   | Adoption Date | Adoption Status                         |
|----------|----------------|--|---------------|---|
| <b>1</b> |                | <b>Maximum Penalties Substantially Same as DOT (\$100,000/\$1,000,000); Indicate actual amount in note.</b>                    | 08/1989       | Adopted \$100,000 /day upto \$1,000,000 |
|          |                | <b>Note<sup>2</sup></b> This is a 10,000 per day, per violation, per M.S. 299J.16 subd. 1 - subject to a maximum of \$500,000. |               |   |
| <b>2</b> |                | <b>191.23 and 191.25 Safety-Related Conditions</b>   | 08/1989       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| <b>3</b> |                | <b>Part 192 Amendments</b>   |               |   |
| 01-90    | Pre 2001       | [All applicable amendments prior to and including 2001]  | 10/2000       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 91       | 4/23/2004      | Definition of high consequence areas for gas transmission lines  | 04/2004       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 92       | 9/4/2003       | Procedures for Producer-operated outer continental shelf natural pipelines that cross directly into state waters               | 09/2003       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 93       | 10/15/2003     | various changes to gas pipeline safety standards from NAPSR recommendations  | 10/2003       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 94       | 6/6/2005       | Modification to the definition of a Transmission Line  | 06/2005       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 95       | 5/26/2004      | Pipeline integrity management for transmission lines in HCAs   | 05/2004       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 96       | 9/14/2004      | Pressure limiting and regulating stations  | 09/2004       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |
| 97       | 7/28/2004      | Passage of internal inspection devices on new and retrofitted transmission pipelines   | 07/2004       | Adopted                                 |
|          |                | <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards.                                |               |   |

Attachment 8 Compliance with Fed. continued from the previous page...

|   |            |   |         |         |
|---|------------|---|---------|---------|
| 98  | 9/9/2004   | Performance of periodic underwater inspections            | 09/2004 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| 99  | 6/20/2005  | API RP 1162 Public awareness campaign                     | 06/2005 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| 100   | 7/15/2005  | PSIA Statutory changes to Operator Qualification Program  | 07/2005 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| 101   | 11/25/2005 | Adoption of Nace Standard as a direct assesment standard  | 11/2005 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| <b>4 Part 193 Amendments (applicable only where state has jurisdiction over LNG)</b>            |            |   |         |         |
| 01-17   | Pre 2001   | [All applicable amendments prior to and including 2001]   | 03/2000 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| 18  | 4/9/2004   | Updated LNG standards by section                          | 04/2004 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| <b>5 Part 199 - Drug Testing</b>  |            |   | 12/1988 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| <b>6 Part 199 Amendments</b>  |            |   |         |         |
| 01-19   | Pre 2001   | [All applicable amendments prior to and including 2001]   | 07/1998 | Adopted |
| <b>Note<sup>2</sup></b> State laws automatically adopt the amendments of the Federal Standards. |            |   |         |         |
| <b>7 State Adoption of Part 198 State One-Call Damage Prevention Program</b>                    |            |   |         |         |
| a.  |            | Mandatory coverage of areas having pipeline facilities    | 08/1987 | Adopted |
| <b>Note<sup>2</sup></b> M.S. 216D effective 08/01/1987  |            |   |         |         |
| b.  |            | Qualification for operation of one-call system            | 08/1987 | Adopted |
| <b>Note<sup>2</sup></b> M.S. 216D effective 08/01/1987  |            |   |         |         |
| c.  |            | Mandatory excavator notification of one-call center       | 08/1987 | Adopted |
| <b>Note<sup>2</sup></b> M.S. 216D effective 08/01/1987  |            |   |         |         |
| d.  |            | State determination whether calls to center are toll free | 08/1987 | Adopted |
| <b>Note<sup>2</sup></b> M.S. 216D effective 08/01/1987  |            |   |         |         |
| e.  |            | Mandatory intrastate pipeline operator participation      | 08/1987 | Adopted |

Attachment 8 Compliance with Fed. continued from the previous page...

Note<sup>2</sup> M.S. 216D effective 08/01/1987

|  |   |         |         |
|--|---|---------|---------|
| f.   | Mandatory operator response to notification                 | 08/1987 | Adopted |
| Note <sup>2</sup> M.S. 216D effective 08/01/1987                             |   |         |         |
| g.   | Mandatory notification of excavators/public                 | 08/1987 | Adopted |
| Note <sup>2</sup> M.S. 216D effective 08/01/1987                             |   |         |         |
| h.   | Civil penalties/injunctive relief substantially same as DOT | 08/1987 | Adopted |
| Note <sup>2</sup> M.S. 216D effective 08/01/1987. Increase to 1,000 in 1998. |   |         |         |

<sup>2</sup> If Adoption Status is No, please provide an explanation.

State Attendance at 2005 NAPS Regional Meeting:

Attended full time (Lead rep or alternative pipeline staff)

Frequency of General Legislative Session Annually

# Attachment 9 - Drug Free Workplace

## CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

### INSTRUCTIONS FOR CERTIFICATION

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance was placed when the agency determined to award the grant. If it is later determined that the grantee knowingly rendered a false certification or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

### CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

- A. The grantee certifies that it will provide a drug-free workplace by:
- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
  - (b) Establishing a drug-free awareness program to inform employees about—
    - (1) The danger of drug abuse in the workplace;
    - (2) The grantee's policy of maintaining a drug-free workplace;
    - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
    - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
  - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
  - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will—
    - (1) Abide by the terms of the statement; and
    - (2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;
  - (e) Notifying the agency within ten days after receiving notice under subparagraph (d) from an employee or otherwise receiving actual notice of such conviction;
  - (f) Taking one of the following actions within 30 days of receiving notice under subparagraph (d)(2) with respect to any employee who is so convicted—
    - (1) Taking appropriate personnel action against such an employee up to and including termination; or
    - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
  - (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).
- (B) The grantee shall insert in the space provided below the site(s) for the performance of work done in connection with the specific grant.

Place of Performance (street address, city, county, state, zip code).

Charles R. Kenner

SIGNATURE

ADMINISTRATOR

TITLE

2/24/06

DATE

Minnesota Department of Public Safety  
444 Cedar Street, Suite 147  
St. Paul, MN 55101-5147