

UNIVERSITY OF MINNESOTA

Twin Cities Campus

*Office of Associate Vice President
for Budget and Finance, Chief
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February 25, 2004

To: Rep. Philip Krinkie, Chair, House Capital Investment Committee
Rep. Doug Stang, Chair, House Higher Education Finance Committee
Sen. Keith Langseth, Chair, Senate Capital Investment Committee
Sen. Sandra Pappas, Chair, Senate Higher Education Budget Division
Sen. Richard Cohen, Chair, Senate Finance Committee
Peggy Ingison, Commissioner, Minnesota Department of Finance

From: Richard Pfitzenreuter *Fitz*
CFO and Treasurer, University of Minnesota

RE: Capital Appropriation Expenditure Report

As required by Minnesota Statutes 135A.046, I am forwarding you a report on the University's progress in completing projects funded by the State of Minnesota through the HEAPR statute. As has been the University's practice, this report also provides you information about our progress in completing all capital projects funded by the State.

We are pleased with the projects that have been completed and the progress in completing those remaining. All projects funded with appropriations prior to 1998 have been completed and 99% of the funds you have appropriated to the University in 1998 and 2000 have been expended or are contractually obligated with builders. We anticipate having all of the 2002 HEAPR appropriation contractually obligated by the June 30, 2004 requirement.

Enclosed are:

1. Summary graphs showing our progress spending capital appropriations
2. A detailed financial spreadsheet for all HEAPR and major projects

If you have any specific questions, please call Brian Swanson at 612-625-6665.

University of Minnesota

Capital Appropriations Expenditure Report

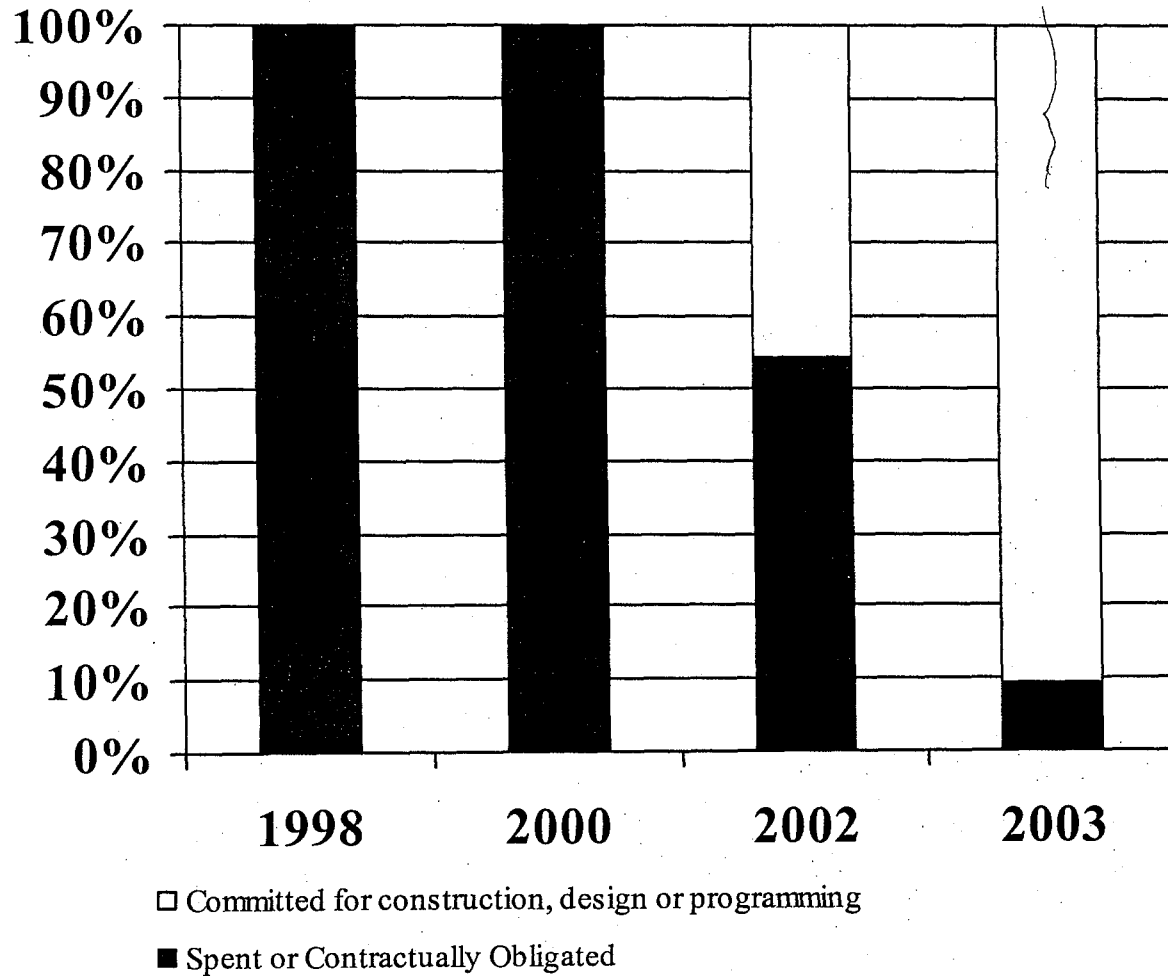
February 2004

Total Allocation Status

Funding Summary: Total Allocation

Year	Total Allocation	Percent Spent or Contractually Obligated
1998	\$138.3 million	99.7 %
2000	\$100.2 million	99.9 %
2002	\$111.9 million	54.2%
2003	\$48.7 million	9.3 %

Total Allocation Status

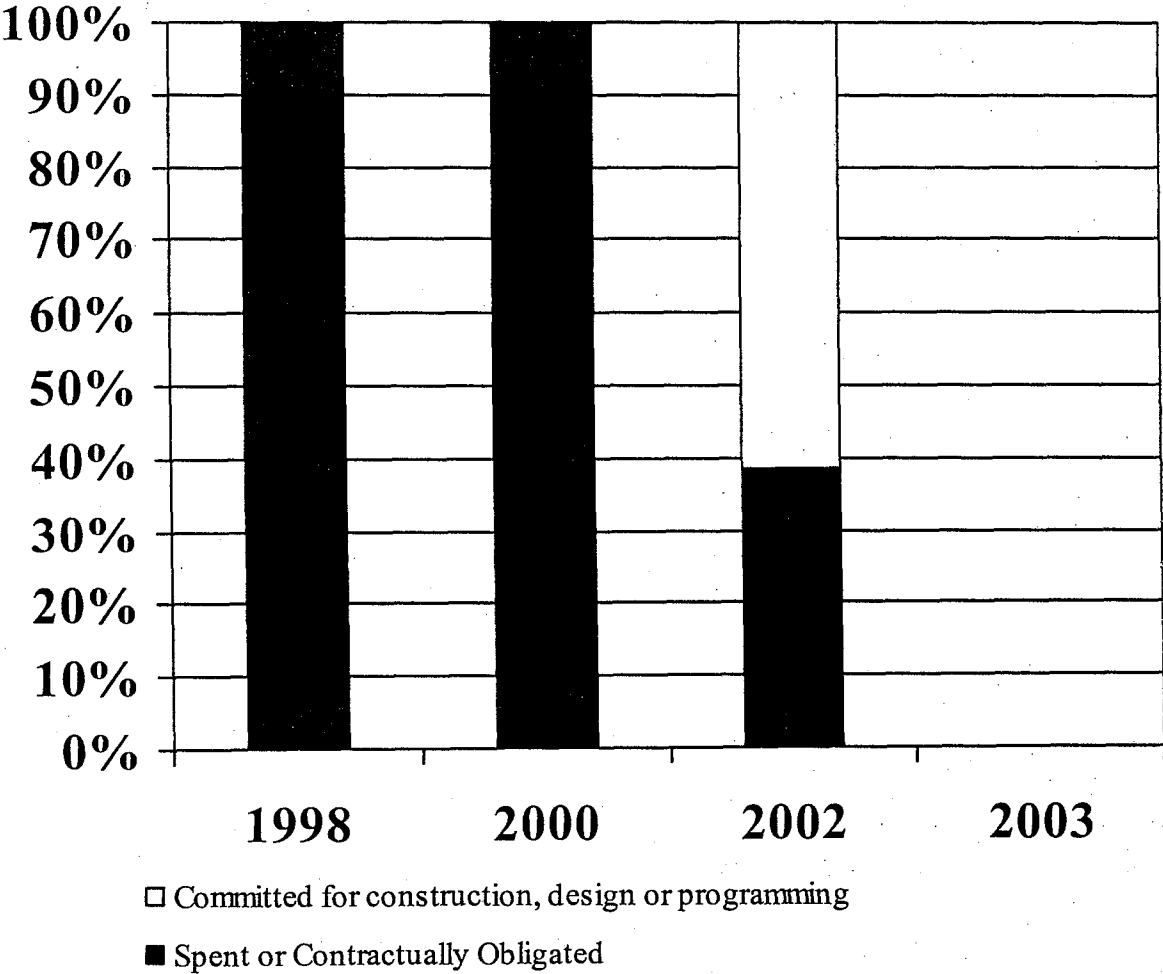


HEAPR Allocation Status

Funding Summary: HEAPR Allocation

Year	HEAPR Allocation	Percent Spent or Contractually Obligated
1998	\$4.0 million	100 %
2000	\$9.0 million	100 %
2002	\$35.0 million	38.5 %
2003	--	--

HEAPR Allocation Status



University of Minnesota

Status Report: Total Capital Appropriations 1998 to 2003
(Projected 02/16/04) Definitions on last page

Row	(A) Project Name	(B) Appropriation Amount	(C) Spent or Contractually Obligated	(D) Committed	(E) (B-C-D) Allotted but Uncommitted	(F) Status	(G) Estimated Occupancy
1	<u>2003 State Capital Appropriations: Major Projects</u>						
2	JONES HALL RENOVATION	8,000,000	647,986	7,352,014	0	Design Development	12/01/05
3							
4	TRANSLATIONAL RESEARCH ADDTN	24,700,000	2,876,426	21,823,574	0	Bidding	07/06/05
5							
6	TEACHING & TECHNOLOGY CTR(DSIGN)	3,000,000	37,525	2,962,475	0	Pre-Design	Design Only
7							
8	VET DIAGNOSTIC LAB RENOVATION	1,500,000	108,962	1,391,038	0	Construction Doc	11/30/04
9							
10	NWROC RES LAB & OFFICE	959,000	109,680	849,320	0	Construction Doc	08/30/04
11	NCROC FOREST GENETICS LAB ADDTN	399,000	17,500	381,500	0	Design Development	09/15/04
12	SROC ADMIN BLDG ADDTN	1,080,000	103,700	976,300	0	Design Development	01/01/05
13	WCROC SWINE RES IMPR	70,000	4,200	65,800	0	Construction	09/01/04
14	Subtotal - Research & Outreach	2,508,000	235,080	2,272,920	0		
15							
16	GENOMICS BUILDING-ROCHESTER(DSIGN)	400,000	0	400,000	0	Pre-Design	Design Only
17							
18	UMM SOC SCI BLDG RENOVATION	8,000,000	644,991	7,355,009	0	Design Development	08/01/05
19	UMM STUDENT HOUSING FIRE PROTECTION	600,000	12,000	588,000	0	Construction Doc	08/20/04
20	Subtotal - UMM	8,600,000	656,991	7,943,009	0		
21							
22	Total - 2003 Appropriations	48,708,000	4,562,970	44,145,030	0		
23							
24							
25	<u>2002 State Capital Appropriations: Major Projects</u>						
26	NICHOLSON HALL RENOVATION	24,000,000	1,873,492	22,126,508	0	Design Development	12/01/05
27							
28	PLANT GROWTH FACILITIES - PH II	17,700,000	16,544,390	1,155,610	0	Construction	12/15/04
29							
30	UMD NEW LAB SCI BUILDING	25,500,000	25,500,000	0	0	Construction	01/01/05
31							
32	UMC BEDE HALL RENOVATION	7,701,000	1,348,168	6,352,832	0	Construction	07/08/05
33							
34	Completed Classroom Projects	300,000	300,000	0	0		
35	CLASSROOM IMPROVMNTS-CROOKSTON	60,000	0	60,000	0	Design Development	10/01/04
36	BLEGEN HALL CLASSROOM UPGRADE	1,540,000	1,540,000	0	0	Construction	Occupied
37	UMM FY03 CLASSROOM IMPROVEMENTS	100,000	94,707	5,293	0	Construction	05/15/04
38	Subtotal - Classroom Improvements	2,000,000	1,934,707	65,293	0		
39							

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40	Subtotals - '02 Appropriations	76,901,000	47,200,757	29,700,243	0		
41							
42	'02 HEAPR Projects						
43	Completed Projects	5,063,189	5,063,189	0	0		
44	ITASCA BIOLOGY STATION KITCHEN RENOV	500,000	341,241	158,759	0	Construction	06/15/04
45	2002 UMM HEAPR FY03 PROJECTS	875,000	742,129	132,871	0	Construction	06/30/04
46	SOC SCI SPRINKLER ALARM UPGRADE	2,900,000	2,309,846	590,154	0	Construction	07/07/04
47	ST PAUL CHILLED WATER PLANT	19,958,869	1,849,422	18,109,448	0	Design Development	03/31/05
48	EMERG HAZ MAT'L/S/AIR QUAL PROJECTS	19,228	19,228	0	0	Construction	06/30/04
49	ADA ACCESS IMPROVEMENT PROJECTS	9,884	9,884	0	0	Construction	06/30/04
50	WATERPROOF PLAZA-KOLTHOFF/SMITH	530,000	530,000	0	0	Construction	09/01/04
51	CE INSTALL GROUND WATER WELLS	411,210	411,210	0	0	Construction	02/15/04
52	SARITA STORM WATER CLEAN UP	100,000	16,129	83,871	0	Construction	07/31/04
53	HUMPHREY ROOF/PLANTER	500,000	478,338	21,662	0	Construction	01/15/04
54	JOHNSTON HALL ROOF	119,204	119,204	0	0	Substantially Comp	Occupied
55	CROPS RESEARCH REROOFING	213,136	213,136	0	0	Pacloseout	Occupied
56	HODSON HALL AIR HANDLING REMODEL	382,913	260,769	122,144	0	Construction	05/14/04
57	ANDERSON HALL BRIDGE DECK REPAIR	525,000	45,500	479,500	0	Design Development	09/01/04
58	UMC DOWELL HALL WALL & WINDOW REP	279,298	279,298	0	0	Pacloseout	Occupied
59	UMD ANATOMY LAB HVAC	250,000	29,134	220,866	0	Construction	06/30/04
60	CIV ENG HYDRAULIC POWER SUPPLY	50,000	3,907	46,093	0	Construction Doc	04/15/04
61	PETERS HALL ADA DOOR OPENER	8,276	8,276	0	0	Construction	Occupied
62	SHEVLIN HALL STEP ENTRANCE	15,978	15,978	0	0	Construction	03/31/04
63	UMC SAHLSTROM HALL REROOF	250,000	0	250,000	0	Construction Doc	05/10/04
64	IT-INSTALL DOOR OPERATOR-SW ENTR	11,540	0	11,540	0	Design Development	03/31/04
65	SPCC NON-TANK CONTAINMENT UPGRADE	33,000	0	33,000	0	Design Development	06/30/04
66	MAYO PENTHOUSE & PIPESPACE CLEANUP	25,000	0	25,000	0	Design Development	06/30/04
67	UMC STEAM UTILITY INFRASTRUCTURE	248,738	0	248,738	0	Pre-Design	09/01/05
68	SHEPHERD LAB INSULATION DELAMINATING	126,504	0	126,504	0	Design Development	06/30/04
69	AMUNDSON HL INSULATION DELAMINATING	130,000	0	130,000	0	Design Development	06/30/04
70	AQUATIC CENTER HVAC (DESIGN)	150,000	0	150,000	0	Pre-Design	Design Only
71	ST PAUL ELECTRIC SYSTEM (DESIGN)	500,000	0	500,000	0	Pre-Design	Design Only
72	MONDALE HALL COOLING TOWER REPL	534,000	458,421	75,579	0	Construction	05/15/04
73	Subtotals - '02 HEAPR	35,000,000	13,484,270	21,515,730	0		
74							
75	Total - 2002 Appropriations	111,901,000	60,685,027	51,215,973	0		
76							
77	2000 State Capital Appropriations: Major Projects						
78	Completed Projects	78,750,000	78,750,000	0	0		

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Status Report: Total Capital Appropriations 1998 to 2003

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79	PLANT GROWTH FACILITIES-PHASE I	5,963,000	5,897,536	65,464	0	Substantially Comp	Occupied
80	UMC KIEHLE BUILDING RENOV	6,500,000	6,452,720	47,280	0	Substantially Comp	Occupied
81	Subtotals - '00 Appropriations	91,213,000	91,100,255	112,745	0		
82							
83	'00 HEAPR Projects						
84	<i>Completed Projects</i>	9,000,000	9,000,000	0	0		
85	Subtotals - '00 HEAPR	9,000,000	9,000,000	0	0		
86							
87	Total - 2000 Appropriations	100,213,000	100,100,255	112,745	0		
88							
89	1998 State Capital Appropriations: Major Projects						
90	<i>Completed Projects</i>	133,610,000	133,610,000	0	0		
91	FOLWELL HALL RENOVATION (DESIGN)	690,000	327,474	362,526	0	Design Development	Design Only
92	Subtotals - '98 Appropriations	134,300,000	133,937,474	362,526	0		
93							
94	'98 HEAPR Projects						
95	<i>Completed Projects</i>	4,000,000	4,000,000	0	0		
96	Subtotals - '98 HEAPR	4,000,000	4,000,000	0	0		
97							
98	Total - 1998 Appropriations	138,300,000	137,937,473	362,526	0		
99							
100							
101	Grand Totals	399,122,000	303,285,726	95,836,274	0		

NOTE: All capital appropriations prior to 1998 have been expended or returned to the State.

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(Projected 02/16/04) Definitions on last page

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108	Notes:						
109							
110	1) Definitions of columns:						
111	B. Appropriation Amount: The Legislative appropriation for each project. Although HEAPR funds are appropriated in a block of funds,						
112	they are detailed in this report by the University's allocation.						
113	C. Spent or Contractually Obligated. The amount the University has paid or owes to contractors from signed contracts.						
114	D. Committed: This includes three categories.						
115	a. Project scope has been defined and project design is under contract to develop a project to meet the budget.						
116	"Committed" equals the forecast project cost based upon the predesign estimate.						
117	b. Pre-bid estimate has been completed and bids are being prepared. "Committed" equals the forecast project cost based upon the pre-bid estimate.						
118	c. Project is under construction. The "committed" equals the forecasted project cost through close-out.						
119	E. Uncommitted: The balance of the appropriation, which has been allotted to a specific project, but has not been spent, encumbered, or pre-encumbered.						
120							
121	2) Definitions of project phases:						
122	a. Programming: Defining in detail the scope of the project, describing the facility components required to accommodate the academic/operational program,						
123	and establishing the functional and physical relationships of those components.						
124	b. Schematic Design: Evaluating alternatives for meeting the project program and establishing the general size, shape, and massing of building elements;						
125	exterior finishes; and design criteria for structural, mechanical, and electrical systems.						
126	c. Design Development: Developing the preliminary design into a detailed design that establishes final floor plans, building elevations, interior and exterior m						
127	room finishes, building systems, furnishings, and equipment.						
128	d. Construction Documents: Preparing detailed drawings and specifications required to obtain bids and to describe and direct the construction work.						
129	e. Bidding: Soliciting bids from contractors for completing the work described in the construction documents.						
130	f. Construction: Mobilizing of the contractor's equipment, purchasing of building materials, and implementing the work described in the construction documents.						
131	g. Substantial completion: Completing work on the project to a point that the Owner can occupy and use the facility for its intended use.						
132	h. Pacloseout: Making final payments to contractors and vendors, closing all contracts, and preparing the final project accounting.						